
NORTH AYRSHIRE COUNCIL

23 February 2021

Cabinet

Title: HSCP – Budget Monitoring Report

Purpose: To provide an update on the projected financial outturn for the financial year as at December 2020.

Recommendation: It is proposed that the Committee note the attached report.

1. Executive Summary

- 1.1 The attached report provides an overview of the financial position for the partnership and outlines the projected year-end outturn position informed by the projected expenditure and income commitments, these have been prepared in conjunction with relevant budget holders and services. The report also outlines the estimated financial impact of the Covid-19 response, the associated funding and financial risks.

2. Background

- 2.1 The Cabinet agreed to receive regular reports on the financial performance of the Health and Social Care Partnership. The Budget Monitoring report presented to the IJB on 11 February 2021 outlined the projected financial outturn for the financial year as at January 2021 and is attached as an appendix.

3. Proposals

- 3.1 It is proposed that the Committee note the report.

4. Implications

Financial:	The implications are outlined in the attached report.
Human Resources:	The implications are outlined in the attached report.

Legal:	The implications are outlined in the attached report.
Equality:	The implications are outlined in the attached report.
Environmental & Sustainability:	The implications are outlined in the attached report.
Key Priorities:	The implications are outlined in the attached report.
Community Benefits:	The implications are outlined in the attached report.

5. Consultation

5.1 The attached report outlines the consultation that has taken place.

For further information please contact **Caroline Cameron** on **07801 439900**.

Background Papers

None

Subject: **2020-21 – Month 9 Financial Performance**

Purpose: To provide an overview of the IJB's financial performance as at Period 9 including an update on the estimated financial impact of the Covid-19 response.

Recommendation: It is recommended that the IJB:

- (a) notes the overall integrated financial performance report for the financial year 2020-21 and the overall projected year-end underspend of £1.245m at period 9;
- (b) notes the updated estimated costs of the Covid mobilisation plan of £10.211m, including savings delays, and the associated funding received to date; and
- (c) note the financial risks for 2020-21, including the impact of Covid 19, and that there is no recommendation at this time to implement a formal Financial Recovery Plan for the IJB.

Glossary of Terms	
NHS AA	NHS Ayrshire and Arran
HSCP	Health and Social Care Partnership
MH	Mental Health
CAMHS	Child & Adolescent Mental Health Services
RAG	Red, Amber, Green
UNPACS	UNPACS, (UNPlanned Activities) – Extra Contractual Referrals
NRAC	NHS Resource Allocation Committee
GAE	Grant Aided Expenditure
PAC	Performance and Audit Committee

1.	EXECUTIVE SUMMARY
1.1	The report provides an overview of the financial position for the partnership and outlines the projected year-end outturn position informed by the projected expenditure and income commitments, these have been prepared in conjunction with relevant budget holders and services. It should be noted that although this report refers to the position at the December period end that further work is undertaken following the month end to finalise projections, therefore the projected outturn position is as current and up to date as can practicably be reported.
1.2	The projected outturn, before the impact of Covid-19, is a year-end underspend of £1.245m for 2020-21 which is a favourable movement of £0.114m. There is scope for this position to fluctuate due to in-year cost and demand pressures and assumptions in

	relation to funding and the achievement of savings. The position has been adjusted to reflect the potential impact of Lead Partnership services. In the absence of any alternative risk sharing agreement for lead partnership services an NRAC share of the projected position has been assumed as this would be in line with the allocation in previous years.
1.3	From the core projections, overall, the main areas of pressure are learning disability care packages, looked after children and adult in-patients within the lead partnership. However, there has been significant progress to reduce the pressures in these areas. The financial position demonstrates that the work started before the pandemic to ensure the IJB moved into the new financial year in a financially sustainable position has not been reversed by the Covid-19 response. If this position can be sustained until the financial year end, and assuming all Covid-19 costs are fully funded, the IJB will underspend and repay £1.5m of the debt to North Ayrshire Council as planned.
1.4	The most up to date position in terms of the mobilisation plan for Covid-19 based on the return to the Scottish Government in November projects £10.211m of a financial impact, which is split between additional costs of £8.7m and anticipated savings delays of £1.5m. The impact of savings delays has been built into the core financial projection above on the basis that there is less confidence that funding will be provided to compensate for this. There are financial risks associated with Covid-19 as the IJB has yet to receive confirmation of the full funding allocation. To date North Ayrshire have been allocated funding totalling £8.276m.
1.5	<p>Pending full funding for Covid-19 being confirmed there is a risk that there may be a shortfall to fully compensate the North Ayrshire IJB for the additional costs. Currently there is a balance of £0.468m of estimated costs (exc unachieved savings) for which funding has not yet been allocated. However, there is no recommendation at this time to implement a Financial Recovery Plan on the basis that:</p> <ul style="list-style-type: none"> • There is increasing confidence that additional costs will be funded based on the recently received and future expected funding allocations; • Offsetting reductions of £0.5m have not been included in the overall funding allocation and also have not been factored into the HSCP financial projections, therefore at this stage these would potentially remain available for North to re-direct to any funding shortfall; • The most significant area of additional Covid costs are the purchase of PPE for social care and sustainability payments for commissioned social care providers (£4.7m in total). Both areas have been implemented with an assurance that the actual costs will be fully reimbursed; • The period 9 position projects an underspend position (excluding Covid) and this does not include any assumption re the £1.5m held by the Council towards the IJB debt, this position assumes the debt repayment is made as planned, this position also incorporates estimated delays with savings delivery. <p>The financial position will continue to be reported to the IJB at each meeting, these reports will outline the monthly financial projections and the updated position in relation to estimates for Covid costs.</p>
2.	CURRENT POSITION
2.1	The report includes an overview of the financial position including commitments against the available resource, explanations for the main budget variances, an update on progress in terms of savings delivery and plans to work towards financial balance.

	<p>The report also includes detail of the estimated costs and potential financial impact of the Covid-19 response.</p>																																
	<p>FINANCIAL PERFORMANCE – AT PERIOD 9</p>																																
2.2	<p>The projected outturn position at period 9 reflects the cost of core service delivery and does not include the costs of the Covid 19 response as these costs are considered separately alongside the funding implications.</p> <p>Against the full-year budget of £259.787m there is a projected year-end underspend of £1.245m (0.5%). The Integration Scheme outlines that there is an expectation that the IJB takes account of the totality of resources available to balance the budget in year. Following this approach, an integrated view of the financial position should be taken, however it is useful to note that this overall position consists of a projected underspend of £0.078m in social care services and a projected underspend of £1.167m in health services. The graph below illustrates the continued improvement in the financial projection for 2020-21.</p> <div><p>Movement in Projected Outturn</p><table><thead><tr><th>Month</th><th>Council</th><th>Health</th><th>Total</th></tr></thead><tbody><tr><td>June</td><td>750</td><td>-750</td><td>0</td></tr><tr><td>July</td><td>600</td><td>-500</td><td>100</td></tr><tr><td>Aug</td><td>900</td><td>-1,200</td><td>-100</td></tr><tr><td>Sept</td><td>900</td><td>-1,200</td><td>-100</td></tr><tr><td>Oct</td><td>400</td><td>-1,100</td><td>-700</td></tr><tr><td>Nov</td><td>100</td><td>-1,100</td><td>-1,000</td></tr><tr><td>Dec</td><td>0</td><td>-1,000</td><td>-1,200</td></tr></tbody></table></div> <p>As highlighted at the end of last year the payroll turnover target was to be centralised for future years as the approach in previous years left some service areas with unachievable targets whilst other areas were able to overachieve, it was agreed that a more transparent approach would be to manage the payroll turnover and vacancy savings centrally. This approach has been adopted for 2020-21, this has helped to de-clutter the financial report and to make it more transparent re the overall turnover target and the progress towards achieving this across the partnership. Section 2.6 highlights progress with the partnership vacancy target.</p> <p>Appendix A provides the financial overview of the partnership position. The sections that follow outline the significant variances in service expenditure compared to the approved budgets with detailed analysis provided in Appendix B.</p>	Month	Council	Health	Total	June	750	-750	0	July	600	-500	100	Aug	900	-1,200	-100	Sept	900	-1,200	-100	Oct	400	-1,100	-700	Nov	100	-1,100	-1,000	Dec	0	-1,000	-1,200
Month	Council	Health	Total																														
June	750	-750	0																														
July	600	-500	100																														
Aug	900	-1,200	-100																														
Sept	900	-1,200	-100																														
Oct	400	-1,100	-700																														
Nov	100	-1,100	-1,000																														
Dec	0	-1,000	-1,200																														
2.3	<p>Health and Community Care Services</p> <p>Against the full-year budget of £69.327m there is an underspend of £1.071m (1.5%) which is an adverse movement of £0.024m. The main variances are:</p> <p>a) Care home placements including respite placements (net position after service user contributions) – underspent by £0.859m (favourable movement of £0.110m). The care home budget moved into a sustainable position towards the end of 2019-20 and the opening position for the budget for 2020-21 was expected to be an underspend position as at that time we set the budget at a level to fund 810 places and we were funding 782. The occupancy in care homes has fallen further in the first half of 2020-21 and as at 31st December we were funding 754 placements.</p>																																

	<p>Therefore, there are significant vacancies in care homes, the projected underspend includes a steady net increase of 10 placements per month until the year-end.</p> <p>b) Independent Living Services are overspent by £0.244m (adverse movement £0.034m) which is due to an overspend on physical disability care packages within the community and direct payments. There will be further work undertaken with the implementation of the Adult Community Support framework which will present additional opportunities for reviews and will ensure payment only for the actual hours of care delivered. The roll out of the CM2000 system for Adult services was will assist the reviews.</p> <p>c) Care at home are reporting a balanced position, whilst there is a projected overspend on the budget due to additional capacity for Covid this remains below the costs included in the Covid funding plan and the additional monies received for winter capacity. Bank staff are being offered contracts, the service are recruiting additional staff for the in-house service and also engaging with new providers to bring them onto the framework for commissioned services. The cost of these plans remains in line with the level of Covid resources requested.</p> <p>d) Aids and adaptations projected underspend of £0.045m (£0.081m adverse movement). There have been significant delays with carrying out assessments and providing equipment and adaptations during lock down. The final outturn depends on the level of assessments that can be undertaken in the coming months however this cannot be determined at this stage in the year. The service are actively recruiting temporary staff to re-mobilise these services and address the waits for assessment and delivery of equipment and adaptations.</p> <p>e) Carers Act Funding is projected to underspend by £0.443m (no movement) based on the currently committed spend and delays with taking forward new developments to support carers. This projected position assumes there will be carers' support plans undertaken and a level of demand/services identified from these plans to be delivered later in the year. The service plan is to undertake positive promotion of the services available to carers and we are currently reviewing the process for a carers assessment to make this more accessible to individuals requiring support.</p>
2.4	<p>Mental Health Services</p>
	<p>Against the full-year budget of £78.036m there is a projected overspend of £0.900m (1.1%) which is an adverse movement of £0.429m. The main variances are:</p> <p>a) Learning Disabilities are projected to overspend by £1.651m (favourable movement of £0.010m), included within this is £0.830m (£0.100m adverse movement) community care packages and £0.336m for residential placements (£0.059m favourable movement) due to an allocation of contract inflation. 2020-21 savings relating to the implementation of the Adult Community Support Contract are delayed as the full implementation of the CM2000 system has been postponed as the focus for providers has been on the response to COVID-19. This has commenced in January 2021; the financial benefits of the system are included in the projection later in the year but at a reduced level. Community Learning Disability Care packages are proving to be one of the most challenging areas to address overspends. The current projection assumes the current level of commissioned support will continue for the year, there are opportunities to reduce this commitment as a significant number of these care packages were reduced or suspended during lock down, these will be reviewed when services are re-started to ensure support is re-started at the appropriate level, this may potentially reduce the year-end projected position</p>

and the opening projections for next year which are currently being collated to inform budget planning for 2021-22.

- b) Community Mental Health services are projected to underspend by £0.398m (£0.237m favourable movement) mainly due to slippage in planned transitions. There has been a reduction in the number of care packages since the start of the year and there have been some temporary reductions to care packages during lock-down, currently these are assumed to be temporary reductions, these will also be reviewed when brought back online.
- c) The Lead Partnership for Mental Health has an overall projected underspend of £0.946m (adverse movement of £0.080m) which consists of:
- A projected overspend in Adult Inpatients of £0.728m (£0.100m adverse movement). The overspend is partly due to the delay in closing the Lochranza ward on the Ailsa site. The ward closed during August 2020 but there remain staff to be re-deployed, the overspend may reduce if alternatives can be identified for displaced staff sooner. There is also a higher use of supplementary staffing due to enhance observations.
 - UNPACS is projected to overspend by £0.130m (£0.061m adverse movement) based on current placements. The adverse movement is due to a further new placement being made.
 - Learning Disabilities are projected to overspend by £0.100m which is an adverse movement of £0.070m due to continued increased use of supplementary staffing for enhanced observations.
 - A projected underspend of £0.100m (£0.050m favourable movement) in Elderly Inpatients due to the completion of the work to reconfigure the Elderly Mental wards, this represents the part-year saving with the full financial benefit being available in 2021-22. The part year reduction for 2020-21 has been reduced due to staffing levels for wards, the workforce tool for the wards is being run which will determine the final staffing.
 - A projected underspend in MH Pharmacy of £0.220m (no movement) due to continued lower substitute prescribing costs.
 - The target for turnover or vacancy savings for the Lead Partnership is held within the Lead Partnership as this is a Pan-Ayrshire target. There is a projected over-recovery of the vacancy savings target of £1.268m in 2020-21, further information is included in the table below:

Vacancy Savings Target	(£0.400m)
Projected to March 2021	£1.770m
Over/(Under) Achievement	£1.370m

The current projection to the year-end is informed by the recruitment plans and the confidence in recruitment success and realistic timescales for filling individual vacancies.

The main areas contributing to this position are noted below:

- Adult Community Health services £0.205m
- Addictions £0.020m
- CAMHS £0.295m
- Mental Health Admin £0.330m
- Psychiatry £0.410m
- Psychology £0.433m

	<ul style="list-style-type: none"> • Associate Nurse Director £0.077m
2.5	Children Services & Criminal Justice
	<p>Against the full-year budget of £35.895m there is a projected overspend of £0.366m (1%) which is an adverse movement of £0.150m. The main variances are:</p> <p>a) Looked After and Accommodated Children are projected to overspend by £0.662m (adverse movement of £0.134m). The main areas within this are noted below:</p> <ul style="list-style-type: none"> • Children's residential placements are projected to overspend by £0.736m (adverse movement of £0.123m due to a further child being placed and extended end dates of two placements and contract inflation above the budgeted level). At period 9 there are 17 placements including 1 secure placement with plans to reduce this by 2 by mid-January and an assumption that there will be no further placements during the year. Budget plans for 2020-21 were based on starting the year with 18, reducing to 14 by the end of Q1 and to 10 places by the end of Q2 and for the remainder of the year. Progress with plans to move children from residential placements have been impacted by Covid-19 as there has been an impact on Children's Hearings and this has limited the availability of tenancies. Children's services are working towards further improving the position as we move through the year as starting the 2021-22 financial year with 15 placements will impact on the savings planned for next year. • Fostering placements are projected to overspend by £0.055m (£0.014m adverse movement) based on the budget for 129 places and 130 actual placements since the start of the year. The fostering service is an area we are trying to grow, and a recruitment campaign was undertaken early in the new year to attract more in-house foster carers to limit the ongoing requirement for external foster placements. There are a number of additional fostering placements attributed to Covid-19 which are out with these numbers as the costs have been included on the Covid-19 mobilisation plan. Respite foster placements is projected to underspend by £0.075m (£0.001m favourable movement) as placements have not taken place due to Covid-19 restrictions. • Kinship placements are projected to underspend by £0.173m (adverse movement of £0.005m) based on the budget for 370 places and 336 actual placements since the start of the year. <p>b) Children with disabilities – residential placements are projected to overspend by £0.112m (£0.065m adverse movement due to a extending a placement that cannot secure a tenancy). Community packages (inc direct payments) are projected to underspend by £0.100m (£0.051m favourable movement) based on.</p> <p>c) Respite is projected to underspend by £0.097m (£0.006m adverse movement) due to respite not taking place due to COVID.</p> <p>d) Transport costs – projected underspend of £0.112m (adverse movement of £0.026m) due to reduced mileage costs.</p>
2.6	Turnover/Vacancy Savings

The payroll turnover target has been centralised for 2020-21. The turnover target for the North Lead Partnership for Mental Health services is detailed within the Lead Partnership information at section 2.4.

The turnover targets and projected achievement for the financial year for Health and Social Care services out with the Lead Partnership is noted below:

	Social Care	Health Services
Vacancy Savings Target	*(£1.957m)	(0.645m)
Projected to March 2021	£1.957m	1.079m
Over/(Under) Achievement	0	0.434m

(*the target for social care services has been increased on a non-recurring basis for 2020-21 only by £0.110m to offset the saving for the roll out of Multi-Disciplinary Teams, as no permanent reductions to the structure can be identified at this time but will be by the service from 2021-22 onwards)

The position in the table above reflects the assumption in the current financial projections. For social care there have been significant vacancy savings to period 9 due to delays with recruitment and a total of £1.560m has been achieved to date. It is not anticipated that the level of vacancies will continue at this rate to the financial year-end, the full annual target is expected to be achieved on the basis that there will vacancies sustained at around 76% of that level. We may potentially exceed the target, as was the case in previous years, but the likelihood of this will not be known with confidence until services and recruitment re-starts fully over the coming months.

The Health vacancy projection to the year-end is informed by the recruitment plans and confidence in recruitment to posts for the remainder of the year.

The main areas contributing to the health and social care vacancy savings are spread across a wide range of services with vacancy savings being achieved in most areas, the most notable in terms of value being social worker posts (across all services), the Community Mental Health Teams and Allied Health Professionals.

2.7 Savings Progress

a) The approved 2020-21 budget included £3.861m of savings.

RAG Status	Position at Budget Approval £m	Position at Period 9 £m
Red	-	0.274
Amber	2.801	1.801
Green	1.060	1.786
TOTAL	3.861	3.861

b) The main areas to note are:

- i) Red savings of £0.274m relating to reducing LD sleepovers and the review of Adoption Allowances, both of which have been impacted by Covid-19, the delays in these savings have been included in the overall projected outturn position;
- ii) Whilst all savings remain on the plan to be delivered there are delays with some savings with delays in implementation due to Covid-19, for example the implementation of the Adult Community Support Framework as the introduction of the CM2000 system was delayed as providers were focussing on COVID related service and staffing issues and further internal implementation work is required;
- iii) The confidence with some savings has increased since the budget was set due to the progress made towards the end of 2019-20, for example with freeing up additional capacity for Care at Home services by reducing care home placements.

Appendix C provides an overview of the savings plan, this highlights that during 2020-21 it is anticipated that a total of £2.394m of savings will be delivered in-year, with £1.467m of savings potentially delayed or reduced. The delays are mainly due to Covid-19 and have been included in the mobilisation plan return to the Scottish Government, but at this stage they have also been reflected in the overall projected outturn position as there is less confidence that the impact of savings delays will be compensated with additional funding.

The Transformation Board is in place to provide oversight and governance to the programme of service change. A focus of the Board is to ensure plans are in place to deliver savings and service change, with a solution focussed approach to bringing programmes back on track. Whilst some of our plans were put on hold due to Covid, the transformation plans are being re-mobilised at pace to ensure we taken any opportunities to join up the re-design services as they come back online. The Transformation Board re-started in July and there will be a concerted effort to ensure the maximum savings delivery can be achieved in-year, to assist with the current year position and to ensure there is no recurring impact moving into 2021-22.

2.8 Budget Changes

The Integration Scheme states that *“either party may increase it’s in year payment to the Integration Joint Board. Neither party may reduce the payment in-year to the Integration Joint Board nor Services managed on a Lead Partnership basis....without the express consent of the Integration Joint Board”*.

Appendix D highlights the movement in the overall budget position for the partnership following the initial approved budget.

Reductions Requiring Approval:

The specific reductions the IJB are required to approve are:

- SG Covid Prescribing Reclaim £0.540m*
- CRES removed from practice budget £0.756m*
- Training Grade Reduction for junior doctors £0.028m
- Reduce Medical discretionary point funding (consultants that have left) £0.033m

*Further detail to follow from the NHS Board

	<p>It is recommended that the IJB approve the budget reductions outlined above.</p> <p>Future Planned Changes:</p> <p>An area due to be transferred in the future are the Douglas Grant and Redburn rehab wards from acute services to the North HSCP. The operational management of these wards has already transferred to the partnership, but the due diligence undertaken on the budget has highlighted a funding shortfall. It has been agreed with NHS Ayrshire and Arran that the financial responsibility will not transfer until balance is found. In the meantime, we are managing services and plans are well progressed to reduce the projected overspend prior to any transfer.</p>
2.9	<p>NHS – Further Developments/Pan Ayrshire Services</p> <p><u>Lead Partnerships:</u></p> <p>The IJB outturn position is adjusted to reflect the impact of Lead Partnership services. During 2019-20 agreement was reached with the other two Ayrshire partnerships that in the absence of any service activity information and alternative agreed risk sharing arrangements that the outturn for all Lead Partnership services would be shared across the 3 partnerships on an NRAC basis. This position is currently the default for 2020-21 as the further work taken forward to develop a framework to report the financial position and risk sharing across the 3 partnerships in relation to hosted or lead service arrangements has been delayed by the requirement to focus efforts on the Covid response.</p> <p>The underspend in relation to North Lead Partnership services is not fully attributed to the North IJB as a share has been allocated to East and South partnerships, similarly the impact of the outturn on East and South led services will require to be shared with North. At month 9 the impact on NA IJB is a £0.336m underspend (£0.351m underspend for East and £0.015m overspend for South).</p> <p>East HSCP – projected underspend of £0.977m (£0.351m NRAC share for NA IJB). The main areas of variance are:</p> <ul style="list-style-type: none"> a) Primary Care and Out of Hours Services (Lead Partnership) - there is a projected underspend of £0.830m (favourable movement of £0.089m). This includes reduced projected costs on Dental Services where there have been a number of services cancelled for the year-to-date. These services are expected to restart in the final quarter of the 2020 calendar year, with an anticipated increase in staffing costs going forward. In addition, work has been undertaken to update cross charging against for Ayrshire Urgent Care Services (AUCS) costs related to the Covid-19 pandemic. It is anticipated that the current level of Covid-related GP activity will continue until the end of December at this stage. In addition, increased staff turnover savings are projected for AUCS, with posts to be recruited to in the final quarter of the financial year. It is anticipated at this stage that the Primary Care Improvement Fund will outturn on budget. b) Prison and Police Healthcare (Lead Partnership) - £0.140m projected underspend (adverse movement of £0.093m). This relates to vacancies and drugs costs which were previously charged to the prison have correctly now been charged against Covid-19 and additional staffing savings. <p>South HSCP – projected overspend of £0.047m (£0.015m NRAC share for NAHSCP). The overspend is mainly due to an overspend in the continence service.</p>

	<p><u>Set Aside:</u></p> <p>The budget for set aside resources for 2020-21 is assumed to be in line with the amount for 2019-20 (£30.094m) inflated by the 3% baseline uplift, this value was used in the absence of any updated information on the share of resources and is £30.997m.</p> <p>At the time of setting the IJB budget it was noted that this may require to be updated following the further work being undertaken by the Ayrshire Finance Leads to establish the baseline resources for each partnership and how this compares to the Fair Share of resources. It was anticipated that 2020-21 would be used as a shadow year for these arrangements, however this work has been delayed due to the Covid-19 response.</p> <p>The annual budget for Acute Services is £356m. The directorate is underspent by £5.7m following allocation of the COVID-19 funds received from Scottish Government.</p> <p>The year to date underspend of £5.7m is a result of:</p> <ul style="list-style-type: none"> • £9m of “offset savings”. These are the underspends resulting from low outpatient and elective activity in the year to date. • £3.3m of unachieved savings. <p>The IJBs and the Health Board have submitted a remobilisation plan outlining how activity will return to normal as far as is possible and are working together to ensure patients are looked after in the most suitable environment.</p>
	<p>COVID-19 – FINANCE MOBILISATION PLAN IMPACT</p>
2.10	<p>Summary of position</p>
	<p>From the outset of the pandemic the HSCP acted very swiftly to respond and developed a mobilisation plan detailing the additional activities to support our response, alongside the estimated financial impact. Financial returns have been submitted to the Scottish Government on a regular basis, on the premise that any additional costs aligned to mobilisation plans would be fully funded. There is a risk that if the full cost of the Covid-19 response is not funded that the IJB may require to recover any overspend in-year, but this is looking less likely given the funding levels to date.</p> <p>The IJB were updated in December outlining the cost estimates, the financial year-end projections and any potential funding gap based on scenarios re Covid-19 funding.</p>
2.11	<p>Mobilisation Plan Cost</p>
	<p>The most recent mobilisation plan cost submission submitted in January estimates the costs to be £10.211m to March 2021. The costs remain estimates as the situation continually evolves and there have been several iterations of the financial plan. The financial returns are submitted alongside the Health Board financial returns to the Scottish Government, this was to move to a quarterly basis but has been kept under review to ensure timely updates can be made to ensure funding allocations can be made.</p> <p>The majority of the additional costs for the HSCP relate to the provision of social care services and the most significant areas are PPE, additional staff costs for staff absence</p>

and student nurses, loss of income due to closed services, additional care home placements, payments to commissioned care providers to ensure future sustainability and the impact on our approved savings programme.

The local finance mobilisation plan submission is included as Appendix E. The main areas of cost together with the movement over the period are summarised below:

Service Area	August Return £m	October Return £m	November Return £m	January Return £m	Change £m
Payments to Providers	1.655	1.683	2.103	3.003	0.900
Personal Protective Equipment (PPE)	2.052	1.693	1.698	1.723	0.025
Savings Delays	1.115	1.132	1.132	1.467	0.335
Nursing – Students and Bank Staff	0.733	0.685	0.714	0.685	(0.029)
Care at Home Capacity	0.416	0.416	0.416	0.416	0.000
Loss of Income	0.442	0.531	0.576	0.853	0.277
Staff Cover	0.425	0.401	0.477	0.496	0.019
Care Home Beds – Delayed Discharges	0.396	0.396	0.396	0.396	0.000
Fostering Placements	0.196	0.196	0.285	0.286	0.001
Delayed Discharges - Other Measures	0.000	0.087	0.114	0.116	0.002
Other staff costs	0.000	0.615	0.685	0.767	0.082
Winter Planning	0.000	0.118	0.000	0.000	0.000
Other costs	0.311	0.233	0.442	0.533	0.091
Offsetting cost reductions	(0.530)	(0.530)	(0.530)	(0.530)	0.000
TOTAL	7.211	7.656	8.508	10.211	1.703

Further information on the elements of the plan are included in previous IJB reports. The most recent changes to estimated costs are in relation to:

- Increased sustainability payments to providers based on the extension of transitional arrangements for support to March 2021,
- Savings delays as the reduction to residential placements for children has been delayed as outlined in the report; and
- Further loss of income from charging for services as not all services have restarted.

2.12 Covid-19 Funding Position

At the outset of the pandemic there was an assurance that subject to any additional expenditure being fully aligned to local mobilisation plans, including the IJB responses, reasonable funding requirements will be supported. This was on the basis that a process would be developed for these to be accurately and immediately recorded and shared with the Scottish Government. The basis of this reporting was drawn up and agreed with COSLA and Health and Social Care Partnerships.

Previous finance reports to IJB have outlined the chronology of funding through the year. The social care allocations have been reviewed following the change to the support through provider sustainability payments and also to pick up any potential shortfalls.

The funding allocations to date are noted below:

	Social Care £000	Health £000	Total North £000
Total allocation by December 2020	5,244	1,022	6,266
Additional Funding January 2020	2,010	0	2,010
TOTAL FUNDING TO DATE	7,254	1,022	8,276

The Scottish Government are continuing to work with Health Boards and IJBs to review and further revise financial assessments and intend to make a further substantive funding allocation in January. This will allow identification of the necessary additional support required, and realignment of funding in line with actual spend incurred with an expectation that an allocation to bring funding up to 100% will be provided.

2.13 Covid – Financial Risk

Overall, at this time the financial risk to the IJB has been reduced significantly by the recent funding allocation.

The table below summarises the overall estimated Covid-19 costs for the North HSCP alongside the funding received to highlight the potential gap:

	£m
Mobilisation Plan Costs (at January 2021)	10.211
FUNDING TOTAL	(8.276)
Shortfall	1.935
Shortfall (excluding savings)	0.468

The estimated additional costs to March 2021 compared to the funding received to date leaves an estimated balance of £0.468m for which funding has not yet been received or allocated.

In terms of the overall risk of currently unfunded elements of the plan:

- There is increasing confidence that additional costs will be funded based on the recently received and future expected funding allocations;
- we have assumed through our core budget monitoring projections that the delays in savings will not be funded and these are included in financial projections, as noted in this report we are projecting breakeven on that basis;
- Offsetting reductions of £0.5m have not been included in the overall funding allocation and also have not been factored into the HSCP financial projections, therefore at this stage these would potentially remain available for North to re-direct to any funding shortfall;
- The most significant area of additional Covid costs are the purchase of PPE for social care and sustainability payments for commissioned social care providers (£3.8m in total). Both areas have been implemented with an assurance that the actual costs will be fully reimbursed;
- The period 9 position projects an underspend of £1.245m (excluding Covid) and this does not include any assumption re the £1.5m held by the Council towards the IJB debt, this position assumes the debt repayment is made as planned, this position also incorporates estimated delays with savings delivery.

The financial position will continue to be reported to the IJB at each meeting, these reports will outline the monthly financial projections and the updated position in relation to estimates for Covid costs. This will include the ongoing consideration of whether a Financial Recovery Plan may be required in the future, at this stage this is not recommended to be considered.

2.14 **Provider Sustainability Payments and Care Home Occupancy Payments**

COSLA Leaders and Scottish Government have agreed an approach to supporting the social care sector to ensure that reasonable additional costs will be met.

We have been making payments to commissioned social care providers in line with the agreed National principles for sustainability and remobilisation payments to social care providers during COVID 19.

Care Home Occupancy Payments - we have engaged with older people's care homes in relation to care home occupancy payments and make regular monthly payments to care home providers with emergency faster payments being made if required. Meetings are being held with each care home to discuss ongoing sustainability and to provide support.

Sustainability payments - providers are responsible for submitting a claim for additional support to the Partnership for sustainability payments and this is assessed as to what support is required on a case by case basis based on the supporting evidence provided. Each case is assessed by the same group to ensure equity and consistency across providers.

In general, all payment terms have been reduced and once any payment is agreed it is being paid quicker to assist the cash flow position of providers. The assessment of some claims has been difficult due to delays with additional information and supporting evidence being submitted to support claims, hence there are a number of claims that are in process.

The sustainability payments are estimated to be a significant cost in our mobilisation plan and the timely submission and assessment of claims is key to ensuring we can accurately estimate the financial cost and ensure the costs are reclaimed from the Scottish Government.

Providers in North Ayrshire are not all strictly adhering to these timescales and we are still receiving claims dating back to the start of the pandemic, the commissioning team are working with providers to support them to submit claims.

The tables below show the support provided to date and the outstanding claims as at the end of December.

PROVIDER SUMMARY	NCHC Care Homes	Other	Total
Total Number of Providers	17	48	65
Number in contact for support	16	27	43
Providers Supported to date	11	21	32

OUTSTANDING CLAIMS	NCHC Care Homes	Other	Total
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Total Number of Claims	5	6	11
Value of Claims	£477,887	£95,853	£573,740

SUPPORT PROVIDED	NCHC Care Homes £	Other Services £	TOTAL £
Occupancy Payments *	£1,552,470	n/a	£1,552,470
Staffing	£61,769	£50,860	£112,629
PPE, Infection Control	£92,795	£31,390	£124,185
Other	£11,600	£273	£11,873
TOTAL	£1,718,634	£82,523	£1,801,157

* payments to end of December

A significant level of financial support has been provided to our commissioned providers, in particular older people's care homes.

Due to concerns re the sustainability of the social care sector the Scottish Government agreed to review transitional arrangements to provide more targeted support to the sector, this work was undertaken with stakeholders to consider the evidence for a new arrangement from December.

Arrangements for support have been agreed alongside guidance which sets out the criteria that need to be met for financial support, the approach for payment for care that cannot be delivered, the categories of additional costs which may be met, the approach to evidencing additional costs and key principles for requesting and making payments.

The key principles of this ongoing support include:

- Understanding the reasons why care cannot be delivered, only Covid related impacts can be funded through sustainability payments;
- The 'planned care' approach of continuing to pay for undelivered care has been removed and providers and HSCPs will be required to explore opportunities for creatively delivering services in a different way, temporarily re-deploy staff into other HSCP services (voluntarily), where this is not possible providers will be required to access national supports in the first place, including the potential to furlough staff;
- Where payment for undelivered care is agreed as the only option this will be at a reduced level depending on the type of service, for example for care homes subject to the NCHC occupancy payments will be made at 80% of the rate for all vacancies, this is dependent on care homes continuing to admit new residents where it is clinically safe to do so;
- The Social Care Staff Support Fund will remain in place to ensure all staff receive their full pay during a Covid related absence; and
- Additional reasonable costs that are incurred as a result of Covid which cannot be covered from other funding sources will be reimbursed, including for example PPE, infection prevention control and additional staffing costs.

3. PROPOSALS

3.1 Anticipated Outcomes

	<p>Continuing to closely monitor the financial position will allow the IJB to take corrective action where required to ensure the partnership can deliver services in 2020-21 from within the available resource, thereby limiting the financial risk the funding partners, i.e. NAC and NHS AA.</p> <p>The estimated costs and funding in relation to the Covid-19 response also require to be closely monitored to ensure that the IJB can plan for the impact of this and to ensure that the IJB is in the position to re-claim funding to compensate for the additional costs.</p>
3.2	<u>Measuring Impact</u>
	Ongoing updates to the financial position will be reported to the IJB throughout 2020-21.
4.	IMPLICATIONS
Financial:	<p>The financial implications are as outlined in the report.</p> <p>Against the full-year budget of £259.787m there is a projected underspend of £1.245m (0.5%). The report outlines the main variances for individual services.</p> <p>There are a number of assumptions underpinning the projections which could change as we progress through the year. We will continue to work with services to ensure the most accurate and reliable position is reported.</p> <p>One of the main areas of risk is the additional costs related to the Covid-19 response and these are detailed in the report together with an updated position in relation to funding.</p>
Human Resources:	None
Legal:	None
Equality:	None
Children and Young People	None
Environmental & Sustainability:	None
Key Priorities:	None
Risk Implications:	Within the projected outturn there are various over and underspends including the non-achievement of savings. The greatest financial risk for 2020-21 is the additional costs in relation to Covid-19.
Community Benefits:	None

Direction Required to Council, Health Board or Both	Direction to: -	
	1. No Direction Required	
	2. North Ayrshire Council	
	3. NHS Ayrshire & Arran	
	4. North Ayrshire Council and NHS Ayrshire & Arran	√

4.	CONSULTATION
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4.1	<p>This report has been produced in consultation with relevant budget holders and the Partnership Senior Management Team.</p> <p>The IJB financial monitoring report is shared with the NHS Ayrshire and Arran Director of Finance and North Ayrshire Council's Head of Finance after the report has been finalised for the IJB.</p>
5.	CONCLUSION
5.1	<p>It is recommended that the IJB:</p> <p>(a) notes the overall integrated financial performance report for the financial year 2020-21 and the overall projected year-end underspend of £1.245m at period 9;</p> <p>(b) notes the updated estimated costs of the Covid mobilisation plan of £10.211m, including savings delays, and the associated funding received to date; and</p> <p>(c) note the financial risks for 2020-21, including the impact of Covid 19, and that there is no recommendation at this time to implement a formal Financial Recovery Plan for the IJB.</p>

For more information please contact:

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2020-21 Budget Monitoring Report–Objective Summary as at 31st December

Appendix A

Partnership Budget - Objective Summary	2020/21 Budget										
	Council			Health			TOTAL			Over/ (Under) Spend Variance at Period 8 £'000	Movement in projected variance from Period 8 £'000
	Budget	Outturn	Over/ (Under) Spend Variance	Budget	Outturn	Over/ (Under) Spend Variance	Budget	Outturn	Over/ (Under) Spend Variance		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000		
COMMUNITY CARE AND HEALTH	55,842	54,617	(1,225)	13,485	13,639	154	69,327	68,256	(1,071)	(1,095)	24
: Locality Services	23,418	22,781	(637)	4,744	4,814	70	28,162	27,595	(567)	(465)	(102)
: Community Care Service Delivery	27,326	27,073	(253)	0	0	0	27,326	27,073	(253)	(302)	49
: Rehabilitation and Reablement	1,940	1,922	(18)	1,528	1,511	(17)	3,468	3,433	(35)	(118)	83
: Long Term Conditions	1,753	1,365	(388)	5,098	5,199	101	6,851	6,564	(287)	(298)	11
: Integrated Island Services	1,405	1,476	71	2,115	2,115	0	3,520	3,591	71	88	(17)
MENTAL HEALTH SERVICES	25,287	26,596	1,309	53,162	50,833	(989)	78,449	77,429	320	471	(151)
: Learning Disabilities	18,877	20,528	1,651	446	446	0	19,323	20,974	1,651	1,661	(10)
: Community Mental Health	4,941	4,586	(355)	1,681	1,638	(43)	6,622	6,224	(398)	(176)	(222)
: Addictions	1,469	1,482	13	1,340	0	0	2,809	1,482	13	12	1
: Lead Partnership Mental Health NHS Area Wide	0	0	0	49,695	48,749	(946)	49,695	48,749	(946)	(1,026)	80
CHILDREN & JUSTICE SERVICES	32,144	32,510	366	3,751	3,751	0	35,895	36,261	366	216	150
: Irvine, Kilwinning and Three Towns	3,182	3,051	(131)	0	0	0	3,182	3,051	(131)	(147)	16
: Garnock Valley, North Coast and Arran	1,263	1,150	(113)	0	0	0	1,263	1,150	(113)	(112)	(1)
: Intervention Services	2,015	1,999	(16)	327	327	0	2,342	2,326	(16)	(17)	1
: Looked After and Accommodated Children	17,718	18,380	662	0	0	0	17,718	18,380	662	528	134
: Quality Improvement	4,354	4,321	(33)	0	0	0	4,354	4,321	(33)	(31)	(2)
: Public Protection	651	650	(1)	0	0	0	651	650	(1)	(3)	2
: Justice Services	2,508	2,508	0	0	0	0	2,508	2,508	0	0	0
: Universal Early Years	453	451	(2)	3,094	3,094	0	3,547	3,545	(2)	(2)	0
: Lead Partnership NHS Children's Services	0	0	0	330	330	0	330	330	0	0	0
PRIMARY CARE	0	0	0	49,728	49,728	0	49,728	49,728	0	0	0
ALLIED HEALTH PROFESSIONALS			0	5,625	5,550	(75)	5,625	5,550	(75)	(75)	0
MANAGEMENT AND SUPPORT COSTS	15,616	15,088	(528)	2,451	1,932	(519)	18,067	17,020	(1,047)	(958)	(89)
COVID - NHS				1,684	1,684	0	1,684	1,684	0	0	0
CHANGE PROGRAMME	1	1	0	1,011	1,011	0	1,012	1,012	0	0	0
OUTTURN ON A MANAGED BASIS	128,890	128,812	(78)	130,897	128,128	(1,429)	259,787	256,940	(1,507)	(1,441)	(66)
Return Hosted Over/Underspends East	0	0	0	0	307	307	0	307	307	332	(25)
Return Hosted Over/Underspends North	0	0	0	0	0	0	0	0	0	0	0
Return Hosted Over/Underspends South	0	0	0	0	291	291	0	291	291	316	(25)
Receive Hosted Over/Underspends South	0	0	0	0	15	15	0	15	15	15	0
Receive Hosted Over/Underspends East	0	0	0	0	(351)	(351)	0	(351)	(351)	(353)	2
Allocation of PCIF from East	0	0	0		0	0		0	0	0	0
Allocate the Action 15 underspend to East and South	0	0	0		0	0		0	0	0	0
OUTTURN ON AN IJB BASIS	128,890	128,812	(78)	130,897	128,390	(1,167)	259,787	257,202	(1,245)	(1,131)	(114)

2020-21 Budget Monitoring Report – Detailed Variance Analysis

Appendix B

	Budget £000's	Outturn £000's	Over/ (Under) Spend Variance £000's	
COMMUNITY CARE AND HEALTH	69,327	68,256	(1,071)	
Locality Services	28,162	27,595	(567)	<p>Older People care homes inc respite - underspend of £0.859m based on 754 placements and including an under recovery of income from Charging Orders of £250k. [Favourable movement of £110k from P8 - Care Homes £96k ; Respite £14k].</p> <p>Independent Living Services :</p> <p>* Direct Payment packages- overspend of £0.113m on 67 current packages.</p> <p>* Residential Packages - underspend of £0.010m based on 38 packages.</p> <p>* Community Packages (physical disability) - overspend of £0.141m based on 49 packages .</p>
Community Care Service Delivery	27,326	27,073	(253)	<p>Care at Home (inhouse & purchased) - projected to overspend by £0.213m overall due to increased demand in Inhouse services - projected overspend Inhouse £296k, adverse movement £8k from P8. Projected underspend Purchased £131k an adverse movement of £17k from P8 and £48k under recovery in Service Credits from CM2000 - net projected overspend of £0.213m to be met via COVID [note £400k allocated in total to CAH].</p> <p>Direct Payments - underspend £0.204m to year end an adverse movement of £3k from P8 based on 33 packages.</p>
Rehabilitation and Reablement	3,468	3,433	(35)	<p>Aids and Adaptations - underspend of £0.018m an adverse movement of £81k from P8 due to expected future costs of Stairlift fees & allocation of underspend to recruit temporary staff to reduce backlog of assessments.</p>
Long Term Conditions	6,851	6,564	(287)	<p>Carers Centre - projected underspend of £0.443m</p> <p>Anam Cara - projected overspend in employee costs of £0.076m due to overtime & pilot of temporary post with a view to making longer term savings in bank & casual hours (saving 20/21 £22k).</p>
Integrated Island Services	3,520	3,591	71	<p>Employee Costs - Montrose House now reported under Arran Services with a projected overspend of £0.069m.</p>

	Budget £000's	Outturn £000's	Over/ (Under) Spend Variance £000's	
MENTAL HEALTH SERVICES	78,449	77,429	320	
Learning Disabilities	19,323	20,974	1,651	Residential Packages- overspend of £0.336m based on 43 current packages. Community Packages (inc direct payments) - overspend of £0.830m based on 330 current packages.
Community Mental Health	6,622	6,224	(398)	Community Packages (inc direct payments) and Residential Packages - underspend of £0.439m based on 99 community packages, 13 Direct Payments and 29 residential placements.
Addictions	2,809	1,482	13	Outwith the threshold for reporting
Lead Partnership (MHS)	49,695	48,749	(946)	Adult Community - underspend of £0.225m due to vacancies. Adult Inpatients- overspend of £0.728m due to a delay in closing the Lochranza wards, revised assumptions on redeployed staff and an under recovery of bed sale income. UNPACs - overspend of £0.130m based on current placements and assumed service level agreement costs. Elderly Inpatients - underspend of £0.100m which includes the part year impact of thr £0.934m of unallocated funding following the elderly MH review. CAMHS - underspend of £0.305m due to vacancies. MH Admin - underspend of £0.390m due to vacancies. Psychiatry - underspend of £0.460m due to vacancies. MH Pharmacy - underspend of £0.220m mainly within substitute prescribing. Psychology- underspend of £0.500m due to vacancies.

	Budget £000's	Outturn £000's	Over/ (Under) Spend Variance £000's	
CHIDREN'S AND JUSTICE SERVICES	35,895	36,261	366	
Irvine, Kilwinning and Three Towns	3,182	3,051	(131)	<p>Transports costs - Projected underspend of £0.031m due a reduction in spend in Staff Mileage costs</p> <p>Cornerstone Respite - Projected underspend of £0.061m due to respite services not taking place due to COVID</p>
Garnock Valley, North Coast and Arran	1,263	1,150	(113)	<p>Employee Costs - Projecting £0.059m underspend due to a substantive post being vacant . This will be offsetting an overspend in employee Costs within Quality Improvement.</p> <p>Transports costs - Projected underspend of 0.011m due a reduction in spend in Staff Mileage costs.</p> <p>Cornerstone Respite - Projected underspend of £0.036m due to respite services not taking place due to COVID.</p>
Intervention Services	2,342	2,326	(16)	Outwith the threshold for reporting
Looked After and Accommodated Children	17,718	18,380	662	<p>Looked After Children placements - Projected underspend of £0.220m, Adverse movement of £0.44m which is made up of the following:-</p> <p>Kinship - projected underspend of £0.173m. Budget for 370 placements, currently 336 placements but projecting 337 placements by the year end.</p> <p>Adoption - projected overspend of £0.045m. Budget for 69 placements, currently 73 placements.</p> <p>Fostering - projected overspend of £0.055m. Budget for 129 placements, currently 130 placements and projecting 135 placements by the year end. -</p> <p>Fostering Xtra - projected online. Budget for 32 placements, currently 30 placements but projecting 30 placements by the year end.</p> <p>Fostering Respite - Projected underspend of £0.117m which is due to respite services not taking place due to COVID</p> <p>Private fostering - projected overspend of £0.003m. Budget for 10 placements, currently 10 placements.</p> <p>IMPACCT carers - projected online Budget for 2 placements, currently 2 placements.</p> <p>Residential School placements - Projected overspend £0.991m, current number of placements is 17 of which 1 of them is a Secure Placement, assumption that 2 ending in February and no further new admissions resulting in 15 placements at the year end.</p>
Quality Improvement	4,354	4,321	(33)	Outwith the threshold for reporting
Public Protection	651	650	(1)	Outwith the threshold for reporting
Justice Services	2,508	2,508	0	Outwith the threshold for reporting
Universal Early Years	3,547	3,545	(2)	Outwith the threshold for reporting
: Lead Partnership NHS Children's Services	330	330	0	Outwith the threshold for reporting
PRIMARY CARE	49,728	49,728	0	Outwith the threshold for reporting
ALLIED HEALTH PROFESSIONALS	5,625	5,550	(75)	Projected underspend in supplies.
MANAGEMENT AND SUPPORT	18,067	17,020	(1,047)	Over recovery of payroll turnover on health services and the allocation of unscheduled care funding.
CHANGE PROGRAMME & CHALLENGE FUND	1,012	1,012	0	Outwith the threshold for reporting
TOTAL	258,103	255,256	(1,507)	

Threshold for reporting is + or - £50,000

2020-21 Savings Tracker

Appendix C

Savings reference number	Description	Deliverability Status at budget setting	Approved Saving 2020/21 £m	Deliverability Status Month 9	Saving Delivered @ Month 9 £m	Projected to Deliver during Year £m	Projected Shortfall £m	Comment
Children, Families & Criminal Justice								
1	Children and Young People - External Residential Placements	Amber	0.583	Amber	-	0.208	0.375	Currently projecting an overspend. Some plans to move children have been impacted by COVID. Expect to have 15 places at the year-end when the original plan was to have 10 places, will impact on savings for 2021-22.
2	Adoption Allowances	Amber	0.074	Red	-	-	0.074	Current projected overspend but outcome of the adoption review still to be implemented
3	Children's Services - Early Intervention and Prevention	Amber	0.050	Green	0.050	-	-	Fully achieved, met through efficiencies across Children's services
4	Fostering - Reduce external placements	Green	0.036	Green	0.036	-	-	An underspend is projected at month 9.
5	Community Support - Children's Care Packages	Amber	0.008	Green	0.008	-	-	Tender delayed, saving can be met through budget underspend for 2020-21. Tender due to be implemented February 2022.
Mental Health and LD Services								
6	LD - Reduction to Sleepover Provision	Amber	0.200	Red	-	-	0.200	Cluster sleepover models centred around core supported accommodation are being considered but will be delayed. The supported accommodation build timescales have slipped due to COVID.
7	Learning Disability Day Services	Amber	0.279	Amber	-	0.050	0.229	The provision of day care is being reviewed to ensure it can be delivered safely. This will include a review of the staffing, a new staffing structure has been planned which will deliver the full year saving in future years but will be delayed until 2021-22.
8	Trindlemoss	Green	0.150	Amber	0.150	-	-	Fully achieved but two tenancies still to take up their place and the final tenancy has to be decided.
9	Mental Health - Flexible Intervention Service	Green	0.008	Green	0.008	-	-	Fully achieved, slightly over-delivered (£10k)
Health and Community Care								
10	Roll out of multidisciplinary teams - Community Care and Health	Amber	0.110	Green	-	0.110	-	For 2020-21 only this saving has been added to the vacancy savings target to be met non-recurringly. There are a number of vacancies across Community Care and Health but at this stage the service can not identify posts to be removed on a permanent basis, will be formalised and removed from establishment from
11	Carers Act Funding - Respite in Care Homes	Green	0.273	Green	0.273	-	-	Fully achieved
12	Care at Home - Reablement Investment	Amber	0.300	Green	-	0.300	-	Expect to fully achieve but there is a projected overspend (prior to COVID funding) due to additional TUPE costs and an increased level of service.
13	Care at Home - Efficiency and Capacity Improvement	Amber	0.135	Green	-	0.135	-	Expect to fully achieve but there is a projected overspend (prior to COVID funding) due to additional TUPE costs and an increased level of service.
14	Day Centres - Older People	Amber	0.038	Amber	-	-	0.038	Day centres are currently closed and staff have been re-deployed, will look for opportunities to release savings when the services re-open.
15	Charging Policy - Montrose House	Amber	0.050	Green	0.025	0.025	-	New charging policy in place and additional income projected to be achieved.
Whole System								
16	Adults - New Supported Accommodation Models	Amber	0.063	Amber	-	0.025	0.038	Project has slipped. Expected completion date is February 2021. Saving was based on 5mths, Assume only 2mths are achieved
17	Adult Community Support - Commissioning of Services	Amber	0.638	Amber	-	0.150	0.488	Implementation of CM2000 was delayed due to Covid, but went live in January 2021.
18	Charging Policy - Inflationary Increase	Green	0.050	Amber	-	0.025	0.025	Charging was been suspended until October 2020 due to COVID 19, with the exception of care homes and community alarms.
TOTAL SOCIAL CARE SAVINGS			3.045		0.550	1.028	1.467	

Health:

Savings reference number	Description	Deliverability Status at budget setting	Approved Saving 2020/21 £m	Deliverability Status Month 9	Saving Delivered @ Month 9 £m	Projected to Deliver during Year £m	Projected Shortfall £m	Comment
19	Trindlemoss	Green	0.120	Green	0.120	-	-	Fully achieved
20	Packages of care	Green	0.100	Green	0.100	-	-	Fully achieved
21	Elderly Mental Health inpatients (lead partnership)	Green	0.216	Green	0.216	-	-	Fully achieved
22	MH Payroll Turnover (lead partnership)	Green	0.100	Green	0.100	-	-	Fully achieved
23	North Payroll Turnover	Green	0.280	Green	0.280	-	-	Fully achieved

TOTAL HEALTH SAVINGS	0.816	0.816	0.000	0
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TOTAL NORTH HSCP SAVINGS	3.861	1.366	1.028	1.467
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2020-21 Budget Reconciliation

Appendix D

COUNCIL	Period	Permanent or Temporary	£
Initial Approved Budget			96,963
Rounding error			4
Error in budget			1,299
Resource Transfer			22,769
WAN Circuits Budget Transfer - Kyle Road - New data Connection (Store Costs)	1	P	(1)
British Sign Language funding transferred to Democratic Services	3	P	(5)
Child Abuse Enquiry costs - Budget from Corporate	5	T	58
Corporate Procurement Posts 313490 & 313106	6	P	(76)
COVID funding - tranche 1	7	T	1,339
COVID funding - tranche 2	7	T	670
COVID funding - tranche 3	7	T	1,500
COVID funding - tranche 4	7	T	939
Unscheduled Care Allocation	7	T	500
Commercial Waste Virement	7	P	20
COVID funding - tranche 5	9	T	796
COVID funding - tranche 6	9	T	2,010
Winter Funding			100
Rounding			5
Budget Reported at Month 9			128,890
HEALTH	Period	Permanent or Temporary	£
Initial Approved Budget			149,830
Resource Transfer			(22,769)
Adjustment to base budget	1	P	(90)
2019/20 Month 10-12 budget adjustments	1	P	3,999
Non recurring Funding 19/20	3	T	(298)
Full Year effect of Part Year Reductions	3	P	(54)
Additional COVID funding	3	T	1,339
Additional living wage funding	3	P	186
V1P Funding 20/21	3	T	105
Primary Care Prescribing - Uplift	3	P	2,060
Primary Care Prescribing - CRES	3	P	(756)
Outcomes Framework - Breast Feeding	3	T	33
South HSCP V1P contribution	3	T	20
ANP Allocation - MIN	3	T	20
Training Grade Funding	3	P	49
Funding transfer to Acute (Medical Records)	3	T	(33)
Public Health Outcomes Bundle	3	T	235
Specialist Pharmacist in Substance Misuse	3	T	12
Prescribing Reduction - COVID	3	T	(540)
Lochransa Discharges to South HSCP	3	P	(170)

HEALTH	Period	Permanent or Temporary	£
Precribing Reduction	4	P	(1,497)
Training Grade Funding	4	T	36
TEC Contribution	4	T	(53)
Admin posts from South HSCP	4	P	54
Uplift Adjustment	4	P	21
Additional COVID funding	5	T	2,170
Training Grade Funding	5	P	6
Lochranza Discharges to South/East HSCP	5	P	(232)
Arrol Park Discharges to South HSCP	5	P	(107)
Trindlemoss resource transfer adjustment	5	P	(248)
Training Grade Funding	6	P	9
Diabetes Prevention Psychologist Post NR	6	T	11
Re-parent Parkinson Nurse Nth to Sth	6	P	(109)
Arrol Park Discharges to South HSCP	6	P	(24)
Medical Pay Award - Junior Doctors	6	P	31
COVID funding	7	T	(4,448)
Training Grade Funding	7	P	19
Tranche 4 Social Care Covid	7	T	939
ADP Funding 20/21	7	T	212
Trauma Network Funding	7	P	263
NMAHP Clinical Lead	7	T	16
Anticipated Action 15 increase	7	T	414
Perinatal Funding 20/21	7	T	196
Multiple Sclerosis Nrs fr Acute	7	P	123
Unscheduled care allocation	7	T	(500)
COVID funding - NHS	7	P	1,043
Training Grade Funding	8	P	22
HD413 Winter monies - North	8	T	100
SG Covid Prescribing Reclaim	8	P	(540)
Cres removed from Practice budget	8	P	(756)
Dean funding to Acute	9	P	(28)
Covid -Adult Social Care Winter Plan	9	T	2,010
HD607 Additional Covid Funding-Social Care	9	T	796
Additional District Nursing Funding	9	P	60
Diabetes Prevention Psychologist Further Funding	9	T	8
HD606 MH Remobilisation Plan	9	T	161
Reduce Medical Discretionary Point Funding	9	P	(33)
Covid Funding-NHS	9	T	480
Transfer to social care re winter funding and covid funding	9	T	(2,906)
Budget Reported at Month 9			130,897

COMBINED BUDGET	259,787
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Mobilisation Submission – January 2021

Consolidated HSCP costs	Revenue												Revenue	Capital
	Apr-20	May-20	Jun-20	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	2020/21	2020/21
Additional Hospital Bed Capacity/Costs - Maintaining Surge Capacity	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Delayed Discharge Reduction- Additional Care Home Beds	82,102	78,564	78,564	78,564	78,564	-	-	-	-	-	-	-	396,358	-
Delayed Discharge Reduction- Additional Care at Home Packages	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Delayed Discharge Reduction- Other measures	65,604	4,362	4,362	4,362	4,362	4,362	4,362	4,362	4,362	5,000	5,000	5,000	115,500	-
Personal protective equipment	185,330	185,330	199,650	173,716	204,565	188,626	97,704	95,389	100,976	97,255	97,255	97,255	1,723,052	-
Deep cleans	-	-	1,195	(1,195)	-	-	-	-	-	-	-	-	-	-
COVID-19 screening and testing for virus	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Estates & Facilities cost including impact of physical distancing measures	-	-	8,339	391	132	392	9,497	2,255	2,296	2,589	2,589	2,589	31,068	-
Additional staff Overtime and Enhancements	70,596	43,682	47,882	19,489	57,510	34,153	37,027	35,024	37,011	38,006	38,006	38,006	496,392	-
Additional temporary staff spend - Student Nurses & AHP	-	-	369,226	101,111	139,650	74,733	29,395	(6,468)	(23,171)	-	-	-	684,475	-
Additional temporary staff spend - Health and Support Care Workers	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Additional temporary staff spend - All Other	-	-	41,206	45,673	253,332	35,198	59,693	58,758	68,331	68,331	68,331	68,331	767,185	-
Social Care Provider Sustainability Payments	-	-	265,254	223,934	314,525	313,608	288,857	247,300	112,500	412,367	412,367	412,367	3,003,080	-
Social Care Support Fund- Costs for Children & Families Services (where delegated to HSCP)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other external provider costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Additional costs to support carers	-	-	-	-	12,602	(12,602)	-	-	-	-	-	-	-	-
Mental Health Services	-	-	-	-	-	-	-	-	26,530	44,823	44,823	44,823	161,000	-
Additional payments to FHS contractors	-	-	-	28,370	4,820	-	6,742	2,500	21,790	7,136	7,136	7,136	85,629	-
Additional FHS Prescribing	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Hubs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other community care costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Loss of income	88,500	88,500	88,500	88,500	88,500	88,500	44,250	-	-	92,583	92,583	92,583	853,000	-
Staff Accommodation Costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Additional Travel Costs	-	-	5,857	1,755	1,567	1,028	1,019	1,250	784	1,473	1,473	1,473	17,680	-
Digital, IT & Telephony Costs	-	-	937	(877)	16,810	6	6	6	6	6	6	6	16,914	-
Communications	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equipment & Sundries	-	59,055	16,479	22,141	(10,294)	1,033	3,290	3,769	2,105	4,280	4,280	4,280	110,419	-
Homelessness and Criminal Justice Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Children and Family Services	6,952	12,166	20,856	34,760	34,760	34,760	29,546	29,546	29,546	17,626	17,626	17,626	285,771	-
Prison Healthcare Costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Hospice - Loss of income	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Staffing support, including training & staff wellbeing	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Resumption & redesign of primary care/contractor services to support access to urgent care in hours and OOH	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Costs associated with new ways of working- collaborative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Winter Planning	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	38,845	38,845	38,845	38,845	38,845	31,649	31,649	31,649	31,649	31,649	31,649	31,649	415,768	-
Other - Please update narrative	-	13,555	7,673	7,673	7,673	7,673	-	-	-	-	-	-	44,247	-
Other - Please update narrative	-	-	-	-	-	-	-	6,600	6,600	6,600	6,600	6,600	33,000	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	10,933	10,933	10,933	32,800	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
0	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Offsetting cost reductions - HSCP	(108,007)	(108,007)	(108,007)	(68,583)	(68,583)	(68,583)	-	-	-	-	-	-	(529,770)	-
Total	429,922	416,052	1,086,819	798,627	1,179,340	734,536	643,038	511,940	421,317	840,659	840,659	840,659	8,743,569	-
													Subtotal	8,743,569
Expected underachievement of savings (HSCP)	141,500	141,500	141,500	141,500	141,500	141,500	47,167	47,167	47,167	158,833	158,833	158,833	1,467,000	-
Total	571,422	557,552	1,228,319	940,127	1,320,840	876,036	690,204	559,107	468,484	999,493	999,493	999,493	10,210,569	-
												Total		10,210,569