

Subject:	2020-21 – Month 10 Financial Performance
Purpose:	To provide an overview of the IJB's financial performance as at Period 10 including an update on the estimated financial impact of the Covid-19 response.
Recommendation:	<p>It is recommended that the IJB:</p> <ul style="list-style-type: none"> (a) notes the overall integrated financial performance report for the financial year 2020-21 and the overall projected year-end underspend of £3.497m at period 10 (following Covid-19 funding); (b) note the further funding received during 2020-21 to be earmarked in line with the purposes of the funding allocations; (c) notes the updated estimated costs of the Covid mobilisation plan of £10.211m, including savings delays, and the full funding received; and (d) note the remaining financial risks for 2020-21, including the impact of remaining Covid-19 estimates and costs.

Glossary of Terms	
NHS AA	NHS Ayrshire and Arran
HSCP	Health and Social Care Partnership
MH	Mental Health
CAMHS	Child & Adolescent Mental Health Services
RAG	Red, Amber, Green
UNPACS	UNPACS, (UNPlanned Activities) – Extra Contractual Referrals
NRAC	NHS Resource Allocation Committee
GAE	Grant Aided Expenditure
PAC	Performance and Audit Committee

1.	EXECUTIVE SUMMARY
1.1	The report provides an overview of the financial position for the partnership and outlines the projected year-end outturn position informed by the projected expenditure and income commitments, these have been prepared in conjunction with relevant budget holders and services. It should be noted that although this report refers to the position at the January period end that further work is undertaken following the month end to finalise projections, therefore the projected outturn position is as current and up to date as can practicably be reported.
1.2	The projected outturn, before the impact of Covid-19, is a year-end underspend of £3.497m for 2020-21 which is a favourable movement of £2.252m. This movement consists of £1.467m relating to Covid savings delays being funded alongside a

	<p>favourable movement in core service projections of £0.785m. This is the position following the full funding announcement for Covid-19 which totals £10.2m for 2020-21, in previous periods it had been prudently assumed in projections that delays in savings delivery may not be funded.</p> <p>The position has been adjusted to reflect the potential impact of Lead Partnership services. In the absence of any alternative risk sharing agreement for lead partnership services an NRAC share of the projected position has been assumed as this would be in line with the allocation in previous years.</p>																								
1.3	<p>From the core projections, overall, the main areas of pressure are learning disability care packages, looked after children and adult in-patients within the lead partnership. However, there has been significant progress to reduce the pressures in these areas. The financial position demonstrates that the work started before the pandemic to ensure the IJB moved into the new financial year in a financially sustainable position has not been reversed by the Covid-19 response. There is confidence that this position can be sustained until the financial year end, with all Covid-19 costs fully funded, therefore the IJB will underspend and repay £1.5m of the debt to North Ayrshire Council as planned.</p> <p>The main areas of movement from month 10 are:</p> <ul style="list-style-type: none">a) Funding of the unachieved savings £1.467mb) Over recovery of social care turnover £0.300mc) Primary Care – underspend in general medical services £0.143md) Looked After Children – reduction in the overspend of £0.172m due to children’s winter grant funding.																								
1.4	<p>There has been further additional funding allocated to IJBs from the Scottish Government during 2020-21 for use in future years, there is an expectation that IJBs will carry forward these funds in reserves. This additional funding relates to:</p> <table border="1"><thead><tr><th></th><th>National Amount £m</th><th>North Share £m</th></tr></thead><tbody><tr><td>Further Integration Authority Support</td><td>100.0</td><td>2.840</td></tr><tr><td>Adult Social Care Winter Plan</td><td>40.0</td><td>1.238</td></tr><tr><td>Community Living Change Funding</td><td>20.0</td><td>0.513</td></tr><tr><td>Primary Care Improvement Fund</td><td>38.2</td><td>0.657</td></tr><tr><td>Action 15</td><td>11.2</td><td>0.433</td></tr><tr><td>ADP</td><td>8.1</td><td>0.131</td></tr><tr><td>TOTAL</td><td>217.5</td><td>5.812</td></tr></tbody></table> <p>It is anticipated that the full underspend will be carried forward for use in 2021-22 and held as earmarked reserves in line with the allocated purpose.</p>		National Amount £m	North Share £m	Further Integration Authority Support	100.0	2.840	Adult Social Care Winter Plan	40.0	1.238	Community Living Change Funding	20.0	0.513	Primary Care Improvement Fund	38.2	0.657	Action 15	11.2	0.433	ADP	8.1	0.131	TOTAL	217.5	5.812
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1.5	<p>The next monitoring report for the IJB will be presented in June when the final year-end outturn position, including final unaudited reserve balances, will be reported. This report will also fully allocate the £10.2m of Covid-19 funding to the appropriate service areas, reflecting the final true outturn position for each excluding the Covid impacts.</p>																								
2.	CURRENT POSITION																								

2.1	<p>The report includes an overview of the financial position including commitments against the available resource, explanations for the main budget variances, an update on progress in terms of savings delivery and plans to work towards financial balance.</p> <p>The report also includes detail of the estimated costs and potential financial impact of the Covid-19 response.</p>																																				
	FINANCIAL PERFORMANCE – AT PERIOD 10																																				
2.2	<p>At period 10 against the full-year budget of £261.390m there is a projected year-end underspend of £3.497m (1.3%). The Integration Scheme outlines that there is an expectation that the IJB takes account of the totality of resources available to balance the budget in year. Following this approach, an integrated view of the financial position should be taken, however it is useful to note that this overall position consists of a projected underspend of £2.076m in social care services and a projected underspend of £1.421m in health services. The graph below illustrates the continued improvement in the financial projection for 2020-21.</p> <div><p>Movement in Projected Outturn</p><table><thead><tr><th>Month</th><th>Council</th><th>Health</th><th>Total</th></tr></thead><tbody><tr><td>June</td><td>700</td><td>-700</td><td>0</td></tr><tr><td>July</td><td>600</td><td>-500</td><td>100</td></tr><tr><td>Aug</td><td>700</td><td>-800</td><td>100</td></tr><tr><td>Sept</td><td>900</td><td>-1,300</td><td>-1,300</td></tr><tr><td>Oct</td><td>400</td><td>-1,200</td><td>-1,200</td></tr><tr><td>Nov</td><td>100</td><td>-1,200</td><td>-1,200</td></tr><tr><td>Dec</td><td>0</td><td>-1,200</td><td>-1,200</td></tr><tr><td>Jan</td><td>-2,000</td><td>-1,300</td><td>-3,500</td></tr></tbody></table></div> <p>This graph illustrates that throughout the financial year the IJB projected position has been balanced moving to an underspend position from September onwards, despite core projections through the year assuming that savings delays would not be funded. This demonstrates the continued focus on the financial position, tight financial controls, planned progress with savings delivery in many areas, and the focus on ensuring that the pandemic impacts were captured and claimed appropriately.</p> <p>Appendix A provides the financial overview of the partnership position. The sections that follow outline the significant variances in service expenditure compared to the approved budgets with detailed analysis provided in Appendix B.</p>	Month	Council	Health	Total	June	700	-700	0	July	600	-500	100	Aug	700	-800	100	Sept	900	-1,300	-1,300	Oct	400	-1,200	-1,200	Nov	100	-1,200	-1,200	Dec	0	-1,200	-1,200	Jan	-2,000	-1,300	-3,500
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2.3	Health and Community Care Services																																				

Against the full-year budget of £69.300m there is an underspend of £0.965m (1.4%) which is an adverse movement of £0.106m. The main variances are:

- a) Care home placements including respite placements (net position after service user contributions and charging order income) are underspent by £0.897m (favourable movement of £0.187m). The care home budget moved into a sustainable position towards the end of 2019-20 and the opening position for the budget for 2020-21 was expected to be an underspend position as at that time we set the budget at a level to fund 810 places and we were funding 782. The occupancy in care homes has fallen further during 2020-21 and as at 31st January we were funding 738 placements. Therefore, there are significant vacancies in care homes, the projected underspend includes a steady net increase of 10 placements per month until the year-end. The level of income recovered from charging orders has decreased which reduces the overall underspend. This is due to delays with house sales during the pandemic.
- b) Independent Living Services are overspent by £0.222m (favourable movement £0.032m) which is due to an overspend on physical disability care packages within the community and direct payments. There will be further work undertaken with the implementation of the Adult Community Support framework which will present additional opportunities for reviews and will ensure payment only for the actual hours of care delivered. The roll out of the CM2000 system for Adult services will assist in targeting the reviews, the system was implemented in February 2021.
- c) Care at home are reporting a balanced position, whilst there is a projected overspend on the budget due to additional capacity for Covid this remains in line with the costs included in the Covid funding plan and the additional monies received for winter capacity. Bank staff are being offered contracts, the service are recruiting additional staff for the in-house service and also engaging with new and existing providers for additional commissioned services. The capacity for care at home will continue to grow as we move into the new financial year to meet the increase in demand for the service, this will be part of the Covid funding requirements and our longer term ambition to shift the balance of care as part of the budget for 2021-22.
- d) Aids and adaptations projected overspend of £0.026m (£0.071m adverse movement). There have been significant delays with carrying out assessments and providing equipment and adaptations during lock down, but activity levels have increased in the latter part of the year. The service are actively recruiting temporary staff to re-mobilise these services and address the waits for assessment and delivery of equipment and adaptations.
- e) Carers Act is projected to underspend by £0.510m (£0.067m favourable movement) based on the currently committed spend. This projected position assumes there will be carers' support plans undertaken and a level of demand/services identified from these plans to be delivered later in the year. The service plan to undertake positive promotion of the services available to carers and we are currently reviewing the process for a carers assessment to make this more accessible to individuals requiring support.

Against the full-year budget of £78.608m there is a projected overspend of £0.308m (0.4%) which is a favourable movement of £0.012m. The main variances are:

- a) Learning Disabilities are projected to overspend by £1.662m (adverse movement of £0.011m), included within this is £1.089m (£0.063m adverse movement) community care packages and £0.318m for residential placements (£0.066m favourable movement). 2020-21 savings relating to the implementation of the Adult Community Support Contract are delayed as the full implementation of the CM2000 system has been postponed as the focus for providers has been on the response to COVID-19. This has been implemented in February 2021.

Community Learning Disability Care packages are proving to be one of the most challenging areas to address overspends. The current projection assumes the current level of commissioned support will continue for the year, there are opportunities to reduce this commitment as a significant number of these care packages were reduced or suspended during lock down, these are being reviewed as and when services are re-started to ensure support is re-started at the appropriate level, this will support with reducing recurring overspends in this area.

- b) Community Mental Health services are projected to underspend by £0.433m (£0.035m favourable movement) mainly due to reclaimed direct payments. There has been a reduction in the number of care packages since the start of the year and there have been some temporary reductions to care packages during lock-down, currently these are assumed to be temporary reductions, these will also be reviewed when brought back online.

- c) The Lead Partnership for Mental Health has an overall projected underspend of £0.930m (adverse movement of £0.016m) which consists of:

- A projected overspend in Adult Inpatients of £0.778m (£0.050m adverse movement). The overspend is partly due to the delay in closing the Lochranza ward on the Ailsa site. The ward closed during August 2020 but there remain staff to be re-deployed, the overspend may reduce if alternatives can be identified for displaced staff sooner. There is also a higher use of supplementary staffing due to enhanced observations.
- Elderly Inpatients - a projected underspend of £0.140m (£0.040m favourable movement) in Elderly Inpatients due to the completion of the work to reconfigure the Elderly Mental wards, this represents the part-year saving. The workforce tool for the wards has been rerun to determine the final staffing which will require to be considered alongside the remaining staff on re-deployment. Consideration of the savings for 2021-22 will be taken forward with East and South HSCP colleagues in relation to any recurring resource release or reinvestment.
- UNPACS is projected to overspend by £0.320m (£0.190m adverse movement) based on current placements. The adverse movement is due to an increased charge for Rowanbank under the service level agreement with Glasgow Health Board.
- A projected underspend in MH Pharmacy of £0.220m (no movement) due to continued lower substitute prescribing costs.
- The turnover target for vacancy savings for the Lead Partnership is held within the Lead Partnership as this is a Pan-Ayrshire target. There is a projected over-recovery of the vacancy savings target of £1.348m in 2020-21, further information is included in the table below:

Vacancy Savings Target	(£0.400m)
Projected to March 2021	£1.748m
Over/(Under) Achievement	£1.348m

The current projection to the year-end is informed by the recruitment plans and the confidence in recruitment success and realistic timescales for filling individual vacancies.

The main areas contributing to this position are noted below:

- Adult Community Health services £0.205m
- Addictions £0.035m
- CAMHS £0.215m
- Mental Health Admin £0.330m
- Psychiatry £0.410m
- Psychology £0.433m
- Associate Nurse Director £0.120m

d) National Trauma Network – funding has been awarded for this development, but it is unlikely that it will be fully spent. We have approval to carry forward any underspend into 2021-22 and will earmark any underspend at the year end, therefore this is not included in the in-year projections.

2.5 Children Services & Criminal Justice

Against the full-year budget of £35.841m there is a projected overspend of £0.062m (0.2%) which is a favourable movement of £0.304m. The main variances are:

a) Looked After and Accommodated Children are projected to overspend by £0.490m (favourable movement of £0.172m). The main areas within this are noted below:

- Children's residential placements are projected to overspend by £0.820m (adverse movement of £0.084m). At period 10 there are 17 placements including 1 secure placement with confirmed plans to reduce this by 1 by mid-February and an assumption that there will be no further placements during the year. Budget plans for 2020-21 were based on starting the year with 18, reducing to 14 by the end of Q1 and to 10 places by the end of Q2 and for the remainder of the year. Progress with plans to move children from residential placements have been impacted by Covid-19 as there has been an impact on Children's Hearings and this has limited the availability of tenancies. Children's services are working towards further improving the position as we move through the year as starting the 2021-22 financial year with 16 placements will impact on the savings planned for next year.
- Fostering placements are projected to overspend by £0.074m (£0.019m adverse movement) based on the budget for 129 places and 132 actual placements since the start of the year. The fostering service is an area we are trying to grow, and a recruitment campaign was undertaken early in 2020-21 to attract more in-house foster carers to limit the ongoing requirement for external foster placements. There are a number of additional fostering placements attributed to Covid-19

which are out with these numbers as the costs have been included on the Covid-19 mobilisation plan. Respite foster placements is projected to underspend by £0.076m (£0.001m favourable movement) as placements have not taken place due to Covid-19 restrictions.

- Kinship placements are projected to underspend by £0.128m (adverse movement of £0.045m) based on the budget for 370 places and 337 actual placements since the start of the year. The adverse movement is due to the actual cost of recent placements being used following the completion of the assessment process rather than estimated costs.
- b) Children with disabilities – residential placements are projected to overspend by £0.023m (£0.089m favourable movement due to an allocation from the children's winter funds). Community packages, including direct payments are projected to underspend by £0.118m (£0.018m favourable movement).
- c) Respite is projected to underspend by £0.119m (£0.022m favourable movement) due to respite being impacted due to COVID.
- d) Transport costs – projected underspend of £0.122m (favourable movement of £0.010m) due to reduced mileage costs.

2.6 Turnover/Vacancy Savings

As highlighted at the end of last year the payroll turnover target was to be centralised for future years as the approach in previous years left some service areas with unachievable targets whilst other areas were able to overachieve, it was agreed that a more transparent approach would be to manage the payroll turnover and vacancy savings centrally. This approach has been adopted for 2020-21, this has helped to de-clutter the financial report and to make it more transparent re the overall turnover target and the progress towards achieving this across the partnership.

The turnover target for the North Lead Partnership for Mental Health services is detailed within the Lead Partnership information at section 2.4.

The turnover targets and projected achievement for the financial year for Health and Social Care services out with the Lead Partnership is noted below:

	Social Care	Health Services
Vacancy Savings Target	*(1.957m)	(0.645m)
Projected to March 2021	2.257m	1.044m
Over/(Under) Achievement	0.300m	0.399m

(*the target for social care services has been increased on a non-recurring basis for 2020-21 only by £0.110m to offset the saving for the roll out of Multi-Disciplinary Teams, as no permanent reductions to the structure can be identified at this time but will be by the service from 2021-22 onwards)

The position in the table above reflects the assumption in the current financial projections. For social care there have been significant vacancy savings to period 10 due to delays with recruitment and a total of £1.989m has been achieved to date. It is anticipated that the level of vacancies will continue at this rate to the financial year-end,

the full annual target is expected to be exceeded by £0.300m (favourable movement of £0.300m shown in management and support services).

The Health vacancy projection to the year-end is informed by the recruitment plans and confidence in recruitment to posts for the remainder of the year.

The main areas contributing to the health and social care vacancy savings are spread across a wide range of services with vacancy savings being achieved in most areas, the most notable in terms of value being social worker posts (across all services), the Community Mental Health Teams and Allied Health Professionals. There have been no intentional plans during the pandemic to pause or delay recruitment and services have actively continued to recruit, in some areas this has proven difficult to fill posts.

2.7 Savings Progress

a) The approved 2020-21 budget included £3.861m of savings.

RAG Status	Position at Budget Approval £m	Position at Period 10 £m
Red	-	0.274
Amber	2.801	1.801
Green	1.060	1.786
TOTAL	3.861	3.861

b) The main areas to note are:

- i) Red savings of £0.274m relating to reducing LD sleepovers and the review of Adoption Allowances, both of which have been impacted by Covid-19;
- ii) Whilst all savings remain on the plan to be delivered there are delays with some savings with delays in implementation due to Covid-19, for example the implementation of the Adult Community Support Framework as the introduction of the CM2000 system was delayed as providers were focussing on COVID related service and staffing issues and further internal implementation work is required;
- iii) The confidence with some savings has increased since the budget was set due to the progress made towards the end of 2019-20, for example with freeing up additional capacity for Care at Home services by reducing care home placements.

Appendix C provides an overview of the savings plan, this highlights that during 2020-21 it is anticipated that a total of £2.394m of savings will be delivered in-year, with £1.467m of savings potentially delayed or reduced mainly due to Covid-19. During the year the unachieved savings have been reflected in the overall projected outturn position as there was less confidence that the impact of savings delays would be compensated with additional funding. The delays were included in the mobilisation plan return to the Scottish Government and funding has been awarded. This will be coded to the relevant budgets at the yearend as part of the overall Covid-19 funding allocation.

	<p>The Transformation Board is in place to provide oversight and governance to the programme of service change. A focus of the Board is to ensure plans are in place to deliver savings and service change, with a solution focussed approach to bringing programmes back on track. Whilst some of our plans were put on hold due to Covid, the transformation plans are being re-mobilised at pace to ensure we taken any opportunities to join up the re-design services as they come back online. The Transformation Board re-started in July and there will be a concerted effort to ensure the maximum savings delivery can be achieved in-year, to assist with the current year position and to ensure there is no recurring impact moving into 2021-22.</p>
2.8	<p>Budget Changes</p> <p>The Integration Scheme states that <i>“either party may increase it’s in year payment to the Integration Joint Board. Neither party may reduce the payment in-year to the Integration Joint Board nor Services managed on a Lead Partnership basis....without the express consent of the Integration Joint Board”</i>.</p> <p>Appendix D highlights the movement in the overall budget position for the partnership following the initial approved budget.</p> <p>Reductions Requiring Approval:</p> <p>The specific reductions the IJB are required to approve are:</p> <ol style="list-style-type: none"> 1) Uplift shortfall £0.114m – this is a budget correction 2) District nursing £0.005m – funding adjustment 3) Resource transfer £0.046m - to South HSCP re Iona & Lewis Wards <p>It is recommended that the IJB approve the budget reductions outlined above.</p> <p>Future Planned Changes:</p> <p>Douglas Grant and Redburn rehab wards from acute services to the North HSCP. The operational management of these wards has already transferred to the partnership, but the due diligence undertaken on the budget has highlighted a funding shortfall. It has been agreed with NHS Ayrshire and Arran that we will accept financial responsibility for these wards from 1 April 2021. This is on the basis that the shortfall in funding for the Douglas Grant ward was previously addressed and plans are in place to retain a reduced bed compliment in the Redburn ward which will bring the wards into financial balance moving forward.</p> <p>The podiatry budget is held by East HSCP and work is underway to devolve this to each partnership. Information on this will be presented to a future IJB.</p>
2.9	<p>NHS – Further Developments/Pan Ayrshire Services</p> <p><u>Lead Partnerships:</u></p> <p>The IJB outturn position is adjusted to reflect the impact of Lead Partnership services. During 2019-20 agreement was reached with the other two Ayrshire partnerships that in the absence of any service activity information and alternative agreed risk sharing arrangements that the outturn for all Lead Partnership services would be shared across the 3 partnerships on an NRAC basis. This position is currently the default for 2020-</p>

21 as the further work taken forward to develop a framework to report the financial position and risk sharing across the 3 partnerships in relation to hosted or lead service arrangements has been delayed by the requirement to focus efforts on the Covid response.

The underspend in relation to North Lead Partnership services is not fully attributed to the North IJB as a share has been allocated to East and South partnerships, similarly the impact of the outturn on East and South led services will require to be shared with North. At month 10 the impact on NA IJB is a retention of £0.336m of the £0.960m underspend in the MH lead partnership (£0.351m underspend from East and £0.015m overspend from South). East and South HSCP do not report at month 10 so the information below is based on the month 9 report.

East HSCP – projected underspend of £0.977m (£0.351m NRAC share for NA IJB). The main areas of variance are:

- a) Primary Care and Out of Hours Services- there is a projected underspend of £0.830m (favourable movement of £0.089m). This includes reduced projected costs on Dental Services where there have been a number of services cancelled for the year-to-date. These services are expected to restart in the final quarter of the 2020 calendar year, with an anticipated increase in staffing costs going forward. In addition, work has been undertaken to update cross charging against for Ayrshire Urgent Care Services (AUCS) costs related to the Covid-19 pandemic. It is anticipated that the current level of Covid-related GP activity will continue until the end of December at this stage. In addition, increased staff turnover savings are projected for AUCS, with posts to be recruited to in the final quarter of the financial year. It is anticipated at this stage that the Primary Care Improvement Fund will outturn on budget as any underspend would require to be carried forward.
- b) Prison and Police Healthcare - £0.140m projected underspend (adverse movement of £0.093m). This relates to vacancies and drugs costs which were previously charged to the prison have correctly now been charged against Covid-19 and additional staffing savings.

South HSCP – projected overspend of £0.047m (£0.015m NRAC share for NAHSCP). The overspend is mainly due to an overspend in the continence service.

Set Aside:

The budget for set aside resources for 2020-21 is assumed to be in line with the amount for 2019-20 (£30.094m) inflated by the 3% baseline uplift, this value was used in the absence of any updated information on the share of resources and is £30.997m.

At the time of setting the IJB budget it was noted that this may require to be updated following the further work being undertaken by the Ayrshire Finance Leads to establish the baseline resources for each partnership and how this compares to the Fair Share of resources. It was anticipated that 2020-21 would be used as a shadow year for these arrangements, however this work has been delayed due to the Covid-19 response.

The annual budget for Acute Services is £364m. The directorate is underspent by £11.0m following allocation of the COVID-19 funds received from Scottish Government.

	<p>The year to date underspend of £11.0m at month 10 is a result of:</p> <ul style="list-style-type: none">Underspends resulting from low outpatient and elective activity in the year to date.£3.7m of unachieved savings being funded non recurrently <p>Both of the above are related to COVID-19 and are non-recurring.</p> <p>The IJBs and the Health Board have submitted a remobilisation plan outlining how activity will return to normal as far as is possible and are working together to ensure patients are looked after in the most suitable environment.</p>																																																
	COVID-19 – FINANCE MOBILISATION PLAN IMPACT																																																
2.10	Summary of position																																																
	<p>From the outset of the pandemic the HSCP acted very swiftly to respond and developed a mobilisation plan detailing the additional activities to support our response, alongside the estimated financial impact. Financial returns have been submitted to the Scottish Government on a regular basis, on the premise that any additional costs aligned to mobilisation plans would be fully funded. There was a risk that if the full cost of the Covid-19 response is not funded that the IJB may require to recover any overspend in-year, the most recent funding allocation mitigates this risk for 20-21.</p>																																																
2.11	Mobilisation Plan																																																
	<p>The most recent mobilisation plan cost submission submitted in January estimates the costs to be £10.211m to March 2021. The costs remain estimates as the situation continually evolves and there have been several iterations of the financial plan.</p> <p>The majority of the additional costs for the HSCP relate to the provision of social care services and the most significant areas are PPE, additional staff costs for staff absence and student nurses, loss of income due to closed services, additional care home placements, payments to commissioned care providers to ensure future sustainability and the impact on our approved savings programme.</p> <p>The local finance mobilisation plan submission is included as Appendix E. The main areas of cost together with the movement over the period are summarised below:</p> <table><tr><th>Service Area</th><th>August Return £m</th><th>October Return £m</th><th>November Return £m</th><th>January Return £m</th><th>Change £m</th></tr><tr><td>Payments to Providers</td><td>1.655</td><td>1.683</td><td>2.103</td><td>3.003</td><td>0.900</td></tr><tr><td>Personal Protective Equipment (PPE)</td><td>2.052</td><td>1.693</td><td>1.698</td><td>1.723</td><td>0.025</td></tr><tr><td>Savings Delays</td><td>1.115</td><td>1.132</td><td>1.132</td><td>1.467</td><td>0.335</td></tr><tr><td>Nursing – Students and Bank Staff</td><td>0.733</td><td>0.685</td><td>0.714</td><td>0.685</td><td>(0.029)</td></tr><tr><td>Care at Home Capacity</td><td>0.416</td><td>0.416</td><td>0.416</td><td>0.416</td><td>0.000</td></tr><tr><td>Loss of Income</td><td>0.442</td><td>0.531</td><td>0.576</td><td>0.853</td><td>0.277</td></tr><tr><td>Staff Cover</td><td>0.425</td><td>0.401</td><td>0.477</td><td>0.496</td><td>0.019</td></tr></table>	Service Area	August Return £m	October Return £m	November Return £m	January Return £m	Change £m	Payments to Providers	1.655	1.683	2.103	3.003	0.900	Personal Protective Equipment (PPE)	2.052	1.693	1.698	1.723	0.025	Savings Delays	1.115	1.132	1.132	1.467	0.335	Nursing – Students and Bank Staff	0.733	0.685	0.714	0.685	(0.029)	Care at Home Capacity	0.416	0.416	0.416	0.416	0.000	Loss of Income	0.442	0.531	0.576	0.853	0.277	Staff Cover	0.425	0.401	0.477	0.496	0.019
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Staff Cover	0.425	0.401	0.477	0.496	0.019																																												

Care Home Beds – Delayed Discharges	0.396	0.396	0.396	0.396	-
Fostering Placements	0.196	0.196	0.285	0.286	0.001
Delayed Discharges - Other Measures	-	0.087	0.114	0.116	0.002
Other staff costs	-	0.615	0.685	0.767	0.082
Winter Planning	-	0.118	-	-	-
Other costs	0.311	0.233	0.442	0.533	0.091
Offsetting cost reductions	(0.530)	(0.530)	(0.530)	(0.530)	-
TOTAL	7.211	7.656	8.508	10.211	1.703

Further information on the elements of the plan are included in previous IJB reports. The most recent changes to estimated costs are in relation to:

- Increased sustainability payments to providers based on the extension of transitional arrangements for support to March 2021,
- Savings delays as the reduction to residential placements for children has been delayed as outlined in the report; and
- Further loss of income from charging for services as not all services have restarted.

2.12 Covid-19 Funding Position

At the outset of the pandemic there was an assurance that subject to any additional expenditure being fully aligned to local mobilisation plans, including the IJB responses, reasonable funding requirements will be supported. This was on the basis that a process would be developed for these to be accurately and immediately recorded and shared with the Scottish Government. The basis of this reporting was drawn up and agreed with COSLA and Health and Social Care Partnerships.

The Scottish Government have continued to work with Health Boards and IJBs to review and further revise financial assessments and made a further funding allocation in January allocation to bring funding up to 100% of the most recent mobilisation cost plan submission. This means the full £10.211m has now been funded, including the unachieved savings of £1.467m.

Overall, at this time the financial risk to the IJB for 20-21 has been mitigated by the recent funding allocation. The only risk remaining being if costs increase by the year-end, this is being closely monitored and there would be an opportunity to escalate this to the Scottish Government for consideration of further funding if required.

2.13 Further Funding

There has been further additional funding allocated to IJBs from the Scottish Government during 2020-21 for use in future years, there is an expectation that IJBs will carry forward these funds in reserves. This additional funding relates to:

	National Amount £m	North Share £m
Further Integration Authority Support	100.0	2.840

Adult Social Care Winter Plan	40.0	1.238
Community Living Change Funding	20.0	0.513
Primary Care Improvement Fund	38.2	0.657
Action 15	11.2	0.433
ADP	8.1	0.131
TOTAL	217.5	5.812

- In view of the ongoing financial pressures in relation to Covid, along with the need to ensure ongoing financial sustainability across the social care sector, funding of £100m has been passed on to IJBs. This is to support ongoing Covid costs, including new ways of working developed in year, and additional capacity requirements.
- It was confirmed in November 2020 that funding of £112 million would be made available to IJBs to support social care through the Winter Plan. In December, an initial tranche of £72 million was distributed to IJBs to meet the costs arising from sustainability payments, staff restriction policies and additional administration support, as well as Nursing Director support. The second tranche of £40m has now been allocated to IJBs.
- A further £20 million of Community Living Change Funding has also been allocated. This is to support discharge from hospital of people with complex needs, to support the return to Scotland of those placed in care in the rest of the UK and costs associated with the redesign of service provision in order to avoid future hospitalisation and inappropriate placements. It is anticipated that this funding will be earmarked to be used over the next 3 years.
- A further £57.5 million is being allocated to IJBs in respect of the outstanding balances on the Primary Care Improvement Fund (PCIF), Mental Health Strategy Action 15 Workforce, and Alcohol and Drugs Partnerships (ADPs). This funding represents the required funding on the three programmes to meet the full commitments as set out previously by the Scottish Government and aligns with the previously unspent and carried forward balances for North Ayrshire.

2.14 **Provider Sustainability Payments and Care Home Occupancy Payments**

COSLA Leaders and Scottish Government have agreed an approach to supporting the social care sector to ensure that reasonable additional costs will be met.

We have been making payments to commissioned social care providers in line with the agreed National principles for sustainability and remobilisation payments to social care providers during COVID 19.

Care Home Occupancy Payments - we have engaged with older people's care homes in relation to care home occupancy payments and make regular monthly payments to care home providers with emergency faster payments being made if required. Meetings are being held with each care home to discuss ongoing sustainability and to provide support.

Sustainability payments - providers are responsible for submitting a claim for additional support to the Partnership for sustainability payments and this is assessed as to what support is required on a case by case basis based on the supporting evidence provided. Each case is assessed by the same group to ensure equity and consistency across providers.

In general, all payment terms have been reduced and once any payment is agreed it is being paid quicker to assist the cash flow position of providers. The assessment of some claims has been difficult due to delays with additional information and supporting evidence being submitted to support claims, hence there are a number of claims that are in process.

The sustainability payments are estimated to be a significant cost in our mobilisation plan and the timely submission and assessment of claims is key to ensuring we can accurately estimate the financial cost and ensure the costs are reclaimed from the Scottish Government.

Providers in North Ayrshire are not all strictly adhering to these timescales and we are still receiving claims dating back to the start of the pandemic, the commissioning team are working with providers to support them to submit claims.

The spend to date on sustainability claims is approaching the estimate in the mobilisation plan and work is underway to review all expenditure included in the mobilisation submission to ensure we remain within the £10.211m funding envelope overall.

The tables below show the support provided to date and the outstanding claims as at the end of January.

PROVIDER SUMMARY	NCHC Care Homes	Other	Total
Total Number of Providers	17	49	66
Number contacting NAC	17	29	46
Providers Supported to date	17	16	33

OUTSTANDING CLAIMS	NCHC Care Homes	Other	Total
Total Number of Claims	12	13	25
Value of Claims	500,885	282,198	783,083

SUPPORT PROVIDED	NCHC Care Homes	Other Services	TOTAL
	£	£	£
Occupancy Payments	1,813,075	0	1,813,075
Staffing	167,538	66,351	233,889
PPE, Infection Control	209,274	55,645	264,919
Other	128,230	298,464	426,694
TOTAL	2,318,116	420,460	2,738,576

A significant level of financial support has been provided to our commissioned providers, in particular older people's care homes.

	<p>Due to concerns re the sustainability of the social care sector the Scottish Government agreed to review transitional arrangements to provide more targeted support to the sector, this work was undertaken with stakeholders to consider the evidence for a new arrangement from December.</p> <p>Arrangements for support have been agreed alongside guidance which sets out the criteria that need to be met for financial support, the approach for payment for care that cannot be delivered, the categories of additional costs which may be met, the approach to evidencing additional costs and key principles for requesting and making payments.</p> <p>The key principles of this ongoing support include:</p> <ul style="list-style-type: none"> • Understanding the reasons why care cannot be delivered, only Covid related impacts can be funded through sustainability payments; • The ‘planned care’ approach of continuing to pay for undelivered care has been removed and providers and HSCPs will be required to explore opportunities for creatively delivering services in a different way, temporarily re-deploy staff into other HSCP services (voluntarily), where this is not possible providers will be required to access national supports in the first place, including the potential to furlough staff; • Where payment for undelivered care is agreed as the only option this will be at a reduced level depending on the type of service, for example for care homes subject to the NCHC occupancy payments will be made at 80% of the rate for all vacancies, this is dependent on care homes continuing to admit new residents where it is clinically safe to do so; • The Social Care Staff Support Fund will remain in place to ensure all staff receive their full pay during a Covid related absence; and • Additional reasonable costs that are incurred as a result of Covid which cannot be covered from other funding sources will be reimbursed, including for example PPE, infection prevention control and additional staffing costs. <p>The current financial sustainability principles, guidance and criteria have now been extended until 30 June 2021, these were previously agreed to 31 March.</p>
3.	PROPOSALS
3.1	<u>Anticipated Outcomes</u>
	<p>Continuing to closely monitor the financial position will allow the IJB to take corrective action where required to ensure the partnership can deliver services in 2020-21 from within the available resource, thereby limiting the financial risk the funding partners, i.e. NAC and NHS AA.</p> <p>The estimated costs and funding in relation to the Covid-19 response also require to be closely monitored to ensure that the IJB can plan for the impact of this and to ensure that the IJB is in the position to re-claim funding to compensate for the additional costs.</p>
3.2	<u>Measuring Impact</u>

	Ongoing updates to the financial position have been reported to the IJB throughout 2020-21, the final year-end monitoring report will be presented in June 2021.
4.	IMPLICATIONS
Financial:	<p>The financial implications are as outlined in the report.</p> <p>Against the full-year budget of £261.930m there is a projected underspend of £3.497m (1.3%). The report outlines the main variances for individual services. There are further funds which have been allocated to the IJB which will require to be carried forward in reserves at the year-end for specific purposes.</p>
Human Resources:	The report highlights a high level of vacancy or turnover savings achieved during the year; this is not expected to be the sustained level moving forward. Services will review any staffing establishment plans and recruitment in line with normal practice when implementing service change and reviews as per agreement with the IJB, there is no intention to sustain this level of staffing capacity reduction on a recurring or planned basis.
Legal:	None
Equality:	None
Children and Young People	None
Environmental & Sustainability:	None
Key Priorities:	None
Risk Implications:	Within the projected outturn there are various over and underspends including the non-achievement of savings.
Community Benefits:	None

Direction Required to Council, Health Board or Both	Direction to: -	
	1. No Direction Required	
	2. North Ayrshire Council	
	3. NHS Ayrshire & Arran	
	4. North Ayrshire Council and NHS Ayrshire & Arran	√

4.	CONSULTATION
4.1	<p>This report has been produced in consultation with relevant budget holders and the Partnership Senior Management Team.</p> <p>The IJB financial monitoring report is shared with the NHS Ayrshire and Arran Director of Finance and North Ayrshire Council's Head of Finance after the report has been finalised for the IJB.</p>
5.	CONCLUSION
5.1	<p>It is recommended that the IJB:</p> <p>(a) notes the overall integrated financial performance report for the financial year</p>

	<p>2020-21 and the overall projected year-end underspend of £3.497m at period 10 (following Covid-19 funding);</p> <p>(b) note the further funding received during 2020-21 to be earmarked in line with the purposes of the funding allocations;</p> <p>(c) notes the updated estimated costs of the Covid mobilisation plan of £10.211m, including savings delays, and the full funding received; and</p> <p>(d) note the remaining financial risks for 2020-21, including the impact of remaining Covid-19 estimates and costs.</p>
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For more information please contact:

Eleanor Currie, Interim S95 Officer on 01294 317814 or eleanorcurrie@north-ayrshire.gov.uk

2020-21 Budget Monitoring Report-Objective Summary as at 31st January

Appendix A

Partnership Budget - Objective Summary	2020/21 Budget										
	Council			Health			TOTAL			Over/ (Under) Spend Variance at Period 9 £'000	Movement in projected variance from Period 9 £'000
	Budget	Outturn	Over/ (Under) Spend Variance £'000	Budget	Outturn	Over/ (Under) Spend Variance £'000	Budget	Outturn	Over/ (Under) Spend Variance £'000		
COMMUNITY CARE AND HEALTH	55,816	54,667	(1,149)	13,484	13,668	184	69,300	68,335	(965)	(1,071)	106
: Locality Services	23,409	22,728	(681)	4,749	4,829	80	28,158	27,557	(601)	(567)	(34)
: Community Care Service Delivery	27,325	27,197	(128)	0	0	0	27,325	27,197	(128)	(253)	125
: Rehabilitation and Reablement	1,930	1,956	26	1,522	1,505	(17)	3,452	3,461	9	(35)	44
: Long Term Conditions	1,749	1,324	(425)	5,098	5,209	111	6,847	6,533	(314)	(287)	(27)
: Integrated Island Services	1,403	1,462	59	2,115	2,125	10	3,518	3,587	69	71	(2)
MENTAL HEALTH SERVICES	25,335	26,616	1,281	53,273	52,300	(973)	78,608	78,916	308	320	(12)
: Learning Disabilities	18,874	20,536	1,662	446	446	0	19,320	20,982	1,662	1,651	11
: Community Mental Health	4,930	4,540	(390)	1,681	1,638	(43)	6,611	6,178	(433)	(398)	(35)
: Addictions	1,531	1,540	9	1,340	1,340	0	2,871	2,880	9	13	(4)
: Lead Partnership Mental Health NHS Area Wide	0	0	0	49,806	48,876	(930)	49,806	48,876	(930)	(946)	16
CHILDREN & JUSTICE SERVICES	32,090	32,182	92	3,751	3,721	(30)	35,841	35,903	62	366	(304)
: Irvine, Kilwinning and Three Towns	3,182	3,038	(144)	0	0	0	3,182	3,038	(144)	(131)	(13)
: Garnock Valley, North Coast and Arran	1,259	1,140	(119)	0	0	0	1,259	1,140	(119)	(113)	(6)
: Intervention Services	2,007	1,990	(17)	327	327	0	2,334	2,317	(17)	(16)	(1)
: Looked After and Accommodated Children	17,689	18,179	490	0	0	0	17,689	18,179	490	662	(172)
: Quality Improvement	4,341	4,223	(118)	0	0	0	4,341	4,223	(118)	(33)	(85)
: Public Protection	651	653	2	0	0	0	651	653	2	(1)	3
: Justice Services	2,508	2,508	0	0	0	0	2,508	2,508	0	0	0
: Universal Early Years	453	451	(2)	3,094	3,064	(30)	3,547	3,515	(32)	(2)	(30)
: Lead Partnership NHS Children's Services	0	0	0	330	330	0	330	330	0	0	0
PRIMARY CARE	0	0	0	49,728	49,585	(143)	49,728	49,585	(143)	0	(143)
ALLIED HEALTH PROFESSIONALS	0	0	0	5,650	5,575	(75)	5,650	5,575	(75)	(75)	0
MANAGEMENT AND SUPPORT COSTS	16,980	16,139	(841)	2,416	1,781	(635)	19,396	17,920	(1,476)	(1,047)	(429)
UNACHIEVED SAVINGS DUE TO COVID	0	0	(1,467)	0	0	0	0	0	(1,467)	0	(1,467)
COVID - NHS	0	0	0	1,855	1,855	0	1,855	1,855	0	0	0
CHANGE PROGRAMME	1	9	8	1,011	1,011	0	1,012	1,020	8	0	8
OUTTURN ON A MANAGED BASIS	130,222	129,613	(2,076)	131,168	129,496	(1,672)	261,390	259,109	(3,748)	(1,507)	(2,241)
Return Hosted Over/Underspends East	0	0	0	0	301	301	0	301	301	307	(6)
Return Hosted Over/Underspends South	0	0	0	0	286	286	0	286	286	291	(5)
Receive Hosted Over/Underspends South	0	0	0	0	15	15	0	15	15	15	0
Receive Hosted Over/Underspends East	0	0	0	0	(351)	(351)	0	(351)	(351)	(351)	0
OUTTURN ON AN IJB BASIS	130,222	129,613	(2,076)	131,168	129,747	(1,421)	261,390	259,360	(3,497)	(1,245)	(2,252)

Detailed Variance Analysis on a Managed Basis

Appendix B

	Budget £000's	Outturn £000's	Over/ (Under) Spend Variance £000's	
COMMUNITY CARE AND HEALTH	69,300	68,335	(965)	
Locality Services	28,158	27,557	(601)	<p>Older People care homes inc respite - underspend of £0.897m based on 738 permanent placements and including an under recovery of income from Charging Orders of £400k. [Favourable movement of £187k from P9].</p> <p>Independent Living Services :</p> <ul style="list-style-type: none"> * Direct Payment packages- overspend of £0.117m on 67 current packages. * Residential Packages - underspend of £0.010m based on 38 packages. * Community Packages (physical disability) - overspend of £0.115m based on 50 packages .
Community Care Service Delivery	27,325	27,197	(128)	<p>Care at Home (inhouse & purchased) - projected to overspend by £0.144m overall due to increased demand in Inhouse services - projected overspend Inhouse £293k,favourable movement £3k from P8. Projected underspend Purchased £169k , favourable movement of £38k from P9 and £20k under recovery in Service Credits from CM2000 - net projected overspend of £0.144m to be met via COVID [note £400k allocated in total to CAH].</p> <p>Direct Payments - underspend £0.184m to year end an adverse movement of £20k from P9 based on 35 packages.</p>
Rehabilitation and Reablement	3,452	3,461	9	Aids and Adaptations - projected overspend of £0.026m an adverse movement of £70k from P9 due to 2019 late costs of Stairlift fees
Long Term Conditions	6,847	6,533	(314)	<p>Carers Centre - projected underspend of £0.510m , favourable £67k P9.</p> <p>Anam Cara - projected overspend in employee costs of £0.084m due to overtime & pilot of temporary post with a view to making longer term savings in bank & casual hours.</p>
Integrated Island Services	3,518	3,587	69	Employee Costs - Montrose House now reported under Arran Services with a projected overspend of £0.095m ; Montrose Income over recovery £20k based on receipts to date

	Budget £000's	Outturn £000's	Over/ (Under) Spend Variance £000's	
MENTAL HEALTH SERVICES	78,608	78,916	308	
Learning Disabilities	19,320	20,982	1,662	Residential Packages- overspend of £0.318m based on 42 current packages. Community Packages (inc direct payments) - overspend of £1.089m based on 330 current packages.
Community Mental Health	6,611	6,178	(433)	Community Packages (inc direct payments) and Residential Packages - underspend of £0.457m based on 98 community packages, 13 Direct Payments and 29 residential placements.
Addictions	2,871	2,880	9	Outwith the threshold for reporting
Lead Partnership (MHS)	49,806	48,876	(930)	Adult Community - underspend of £0.225m due to vacancies. Adult Inpatients- overspend of £0.778m due to a delay in closing the Lochranza wards, revised assumptions on redeployed staff and an under recovery of bed sale income. UNPACs - overspend of £0.320m based on current placements and assumed service level agreement costs. Elderly Inpatients - underspend of £0.140m which includes the part year impact of the £0.934m of unallocated funding following the elderly MH review. CAMHS - underspend of £0.225m due to vacancies. MH Admin - underspend of £0.390m due to vacancies. Psychiatry - underspend of £0.460m due to vacancies. MH Pharmacy - underspend of £0.220m mainly within substitute prescribing. Psychology- underspend of £0.500m due to vacancies.
CHIDREN'S AND JUSTICE SERVICES	35,841	35,903	62	
Irvine, Kilwinning and Three Towns	3,182	3,038	(144)	Transports costs - Projected underspend of £0.030m due a reduction in spend in Staff Mileage costs Cornerstone Respite - Projected underspend of £0.078m due to respite services not taking place due to COVID
Garnock Valley, North Coast and Arran	1,259	1,140	(119)	Employee Costs - Projecting £0.059m underspend due to a substantive post being vacant . This will be offsetting an overspend in employee Costs within Quality Improvement. Transports costs - Projected underspend of 0.012m due a reduction in spend in Staff Mileage costs. Cornerstone Respite - Projected underspend of £0.041m due to respite services not taking place due to COVID.

	Budget £000's	Outturn £000's	Over/ (Under) Spend Variance £000's	
Intervention Services	2,334	2,317	(17)	Outwith the threshold for reporting
Looked After and Accommodated Children	17,689	18,179	490	<p>Looked After Children placements - Projected underspend of £0.206m, Adverse movement of £0.13m which is made up of the following:-</p> <p>Kinship - projected underspend of £0.128k. Budget for 370 placements, currently 337 placements and projecting 337 placements by the year end.</p> <p>Adoption - projected overspend of £0.043m. Budget for 69 placements, currently 73 placements.</p> <p>Fostering - projected overspend of £0.074m. Budget for 129 placements, currently 132 placements and projecting 135 placements by the year end.</p> <p>Fostering Xtra - projected underspend £83k. Budget for 32 placements, currently 30 placements but projecting 30 placements by the year end.</p> <p>Fostering Respite - Projected underspend of £0.119m which is due to respite services not taking place due to COVID</p> <p>Private fostering - projected overspend of £0.004m. Budget for 10 placements, currently 10 placements.</p> <p>IMPACCT carers - projected online Budget for 2 placements, currently 2 placements.</p> <p>Residential School placements - Projected overspend £0.820m, current number of placements is 17 of which 1 of them is a Secure Placement, projecting 17 placements at the year end.</p>
Quality Improvement	4,341	4,223	(118)	<p>Employee Costs - Projected Overspend £85k, however this is partially offset with underspend within Garnock Valley</p> <p>Transports costs - Projected underspend of £11k</p> <p>Muirfield Place - Block contract projecting underspend £28k, favourable movement of £28k which relates to an overpaid invoice relating back to 2017 being refunded to us this year.</p> <p>Community Packages - Projected underspend of 118k . 106 Community Packages on establishment list</p> <p>Direct Payments - Projected Underspend £90k Current number of packages in place is 46 and projecting an increase of 0 packages until end of FY</p> <p>Children's Residential Placements - Projected overspend £23k Currently 12 Residential Placements.</p>
Public Protection	651	653	2	Outwith the threshold for reporting
Justice Services	2,508	2,508	0	Outwith the threshold for reporting
Universal Early Years	3,547	3,515	(32)	Outwith the threshold for reporting
Lead Partnership NHS Children's Services	330	330	0	Outwith the threshold for reporting
PRIMARY CARE	49,728	49,585	(143)	Underspend on GMS services
ALLIED HEALTH PROFESSIONALS	5,650	5,575	(75)	Projected underspend in supplies.
MANAGEMENT AND SUPPORT	19,396	17,920	(1,476)	Over recovery of payroll turnover on health services and the allocation of unscheduled care funding.
UNACHIEVED SAVINGS DUE TO COVID	0	(1,467)	(1,467)	Underspend relating to the unachieved savings now being funded.
COVID - NHS	1,855	1,855	0	Outwith the threshold for reporting
CHANGE PROGRAMME & CHALLENGE FUND	1,012	1,020	8	Outwith the threshold for reporting
TOTAL	261,390	257,642	(3,748)	

Threshold for reporting is + or - £50,000

2020-21 Savings Tracker

Appendix C

Savings reference number	Description	Deliverability Status at budget setting	Approved Saving 2020/21 £m	Deliverability Status Month 10	Saving Delivered @ Month 10 £m	Projected to Deliver during Year £m	Projected Shortfall £m	Comment
Children, Families & Criminal Justice								
1	Children and Young People - External Residential Placements	Amber	0.583	Amber	-	0.208	0.375	Currently projecting an overspend. Some plans to move children have been impacted by COVID. Expect to have 17 places at the year-end when the original plan was to have 10 places, will impact on savings for 2021-22.
2	Adoption Allowances	Amber	0.074	Red	-	-	0.074	Current projected overspend but outcome of the adoption review still to be implemented
3	Children's Services - Early Intervention and Prevention	Amber	0.050	Green	0.050	-	-	Fully achieved, met through efficiencies across Children's services
4	Fostering - Reduce external placements	Green	0.036	Green	0.036	-	-	An underspend is projected at month 10.
5	Community Support - Children's Care Packages	Amber	0.008	Green	0.008	-	-	Tender delayed, saving can be met through budget underspend for 2020-21. Tender due to be implemented February 2022.
Mental Health and LD Services								
6	LD - Reduction to Sleepover Provision	Amber	0.200	Red	-	-	0.200	Cluster sleepover models centred around core supported accommodation are being considered but will be delayed. The supported accommodation build timescales have slipped due to COVID.
7	Learning Disability Day Services	Amber	0.279	Amber	-	0.050	0.229	The provision of day care is being reviewed to ensure it can be delivered safely. This will include a review of the staffing, a new staffing structure has been planned which will deliver the full year saving in future years but will be delayed until 2021-22.
8	Trindlemoss	Green	0.150	Amber	0.150	-	-	Fully achieved but two tenancies still to take up their place and the final tenancy has to be decided.
9	Mental Health - Flexible Intervention Service	Green	0.008	Green	0.008	-	-	Fully achieved, slightly over-delivered (£10k)
Health and Community Care								
10	Roll out of multidisciplinary teams - Community Care and Health	Amber	0.110	Green	-	0.110	-	For 2020-21 only this saving has been added to the vacancy savings target to be met non-recurringly. There are a number of vacancies across Community Care and Health but at this stage the service can not identify posts to be removed on a permanent basis, will be formalised and removed from establishment from
11	Carers Act Funding - Respite in Care Homes	Green	0.273	Green	0.273	-	-	Fully achieved
12	Care at Home - Reablement Investment	Amber	0.300	Green	-	0.300	-	Expect to fully achieve but there is a projected overspend (prior to COVID funding) due to additional TUPE costs and an increased level of service.
13	Care at Home - Efficiency and Capacity Improvement	Amber	0.135	Green	-	0.135	-	Expect to fully achieve but there is a projected overspend (prior to COVID funding) due to additional TUPE costs and an increased level of service.
14	Day Centres - Older People	Amber	0.038	Amber	-	-	0.038	Day centres are currently closed and staff have been re-deployed, will look for opportunities to release savings when the services re-open.
15	Charging Policy - Montrose House	Amber	0.050	Green	0.025	0.025	-	New charging policy in place and additional income projected to be achieved.
Whole System								
16	Adults - New Supported Accommodation Models	Amber	0.063	Amber	-	0.025	0.038	Project has slipped. Expected completion date is February 2021. Saving was based on 5mths, Assume only 2mths are achieved
17	Adult Community Support - Commissioning of Services	Amber	0.638	Amber	-	0.150	0.488	Implementation of CM2000 was delayed due to Covid, but went live in January 2021.
18	Charging Policy - Inflationary Increase	Green	0.050	Amber	-	0.025	0.025	Charging was been suspended until October 2020 due to COVID 19, with the exception of care homes and community alarms.
TOTAL SOCIAL CARE SAVINGS			3.045		0.550	1.028	1.467	

Health:

Savings reference number	Description	Deliverability Status at budget setting	Approved Saving 2020/21 £m	Deliverability Status Month 10	Saving Delivered @ Month 10 £m	Projected to Deliver during Year £m	Projected Shortfall £m	Comment
19	Trindlemoss	Green	0.120	Green	0.120	-	-	Fully achieved
20	Packages of care	Green	0.100	Green	0.100	-	-	Fully achieved
21	Elderly Mental Health inpatients (lead partnership)	Green	0.216	Green	0.216	-	-	Fully achieved
22	MH Payroll Turnover (lead partnership)	Green	0.100	Green	0.100	-	-	Fully achieved
23	North Payroll Turnover	Green	0.280	Green	0.280	-	-	Fully achieved

TOTAL HEALTH SAVINGS	0.816	0.816	0.000	0
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TOTAL NORTH HSCP SAVINGS	3.861	1.366	1.028	1.467
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2020-21 Budget Reconciliation

Appendix D

COUNCIL	Period	Permanent or Temporary	£
Initial Approved Budget			96,963
Rounding error			4
Error in budget			1,299
Resource Transfer			22,769
WAN Circuits Budget Transfer - Kyle Road - New data Connection (Store Costs)	1	P	(1)
British Sign Language funding transferred to Democratic Services	3	P	(5)
Child Abuse Enquiry costs - Budget from Corporate	5	T	58
Corporate Procurement Posts 313490 & 313106	6	P	(76)
COVID funding - tranche 1	7	T	1,339
COVID funding - tranche 2	7	T	670
COVID funding - tranche 3	7	T	1,500
COVID funding - tranche 4	7	T	939
Unscheduled Care Allocation	7	T	500
Commercial Waste Virement	7	P	20
COVID funding - tranche 5	9	T	796
COVID funding - tranche 6	9	T	2,010
Winter Funding	10	P	100
O365 Software Licences to IT	10	P	(34)
Resource Transfer - net month 10 adjustment	10	P	359
Resource Transfer - Trindlemoss	10	P	(457)
Resource Transfer - Discharge Facilitator	10	P	(17)
Resource Transfer - ICT Admin Tfr	10	P	6
Resource Transfer - Living Wage Increase	10	P	186
COVID funding - tranche 7			1,292
Rounding			2
Budget Reported at Month 10			130,222
HEALTH	Period	Permanent or Temporary	£
Initial Approved Budget			149,830
Resource Transfer			(22,769)
Adjustment to base budget	1	P	(90)
2019/20 Month 10-12 budget adjustments	1	P	3,999
Non recurring Funding 19/20	3	T	(298)
Full Year effect of Part Year Reductions	3	P	(54)
Additional COVID funding	3	T	1,339
Additional living wage funding	3	P	186
V1P Funding 20/21	3	T	105
Primary Care Prescribing - Uplift	3	P	2,060
Primary Care Prescribing - CRES	3	P	(756)
Outcomes Framework - Breast Feeding	3	T	33
South HSCP V1P contribution	3	T	20
ANP Allocation - MIN	3	T	20
Training Grade Funding	3	P	49
Funding transfer to Acute (Medical Records)	3	T	(33)
Public Health Outcomes Bundle	3	T	235
Specialist Pharmacist in Substance Misuse	3	T	12
Prescribing Reduction - COVID	3	T	(540)
Lochranza Discharges to South HSCP	3	P	(170)

HEALTH	Period	Permanent or Temporary	£
Prescribing Reduction	4	P	(1,497)
Training Grade Funding	4	T	36
TEC Contribution	4	T	(53)
Admin posts from South HSCP	4	P	54
Uplift Adjustment	4	P	21
Additional COVID funding	5	T	670
Additional COVID funding	5	T	1,500
Training Grade Funding	5	P	6
Lochranza Discharges to South/East HSCP	5	P	(232)
Arrol Park Discharges to South HSCP	5	P	(107)
Trindlemoss resource transfer adjustment	5	P	(248)
Training Grade Funding	6	P	9
Diabetes Prevention Psychologist Post NR	6	T	11
Re-parent Parkinson Nurse Nth to Sth	6	P	(109)
Arrol Park Discharges to South HSCP	6	P	(24)
Medical Pay Award - Junior Doctors	6	P	31
COVID funding	7	T	(4,448)
Training Grade Funding	7	P	19
Tranche 4 Social Care Covid	7	T	939
ADP Funding 20/21	7	T	212
Trauma Network Funding	7	P	263
NMAHP Clinical Lead	7	T	16
Anticipated Action 15 increase	7	T	414
Perinatal Funding 20/21	7	T	196
Multiple Sclerosis Nrs fr Acute	7	P	123
Unscheduled care allocation	7	T	(500)
COVID funding - NHS	7	P	1,043
Training Grade Funding	8	P	22
HD413 Winter monies - North	8	T	100
SG Covid Prescribing Reclaim	8	P	(540)
Cres removed from Practice budget	8	P	(756)
Dean funding to Acute	9	P	(28)
Covid -Adult Social Care Winter Plan	9	T	2,010
HD607 Additional Covid Funding-Social Care	9	T	796
Additional District Nursing Funding	9	P	60
Diabetes Prevention Psychologist Further Funding	9	T	8
HD606 MH Remobilisation Plan	9	T	161
Reduce Medical Discretionary Point Funding	9	P	(33)
Covid Funding-NHS	9	T	480
Transfer to social care re covid funding	9	T	(2,806)
Transfer to social care re winter funding	9	T	(100)
Uplift shortfall	10	P	(114)
Training Grade Funding	10	P	21
Action 15 - 2nd Tranche	10	T	73
District Nursing Correction	10	P	(5)
Iona/Lewis Discharges to South HSCP	10	T	(46)
COVID funding - tranche 7	10	T	1,292
Transfer to social care re COVID fundig trance 7	10	T	(1,292)
Resource Transfer - net month 10 adjustment	10	P	(359)
Resource Transfer - Trindlemoss	10	P	705
Resource Transfer - Discharge Facilitator	10	P	17
Resource Transfer - ICT Admin Tfr	10	P	(6)
Resource Transfer - Living Wage Increase	10	P	(186)
COVID funding - NHS	10	T	171
Budget Reported at Month 10			131,168

COMBINED BUDGET	261,390
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Appendix E

Mobilisation Submission – January 2021

Consolidated HSCP costs	Revenue												Revenue	Capital
	Apr-20	May-20	Jun-20	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	2020/21	2020/21
Additional Hospital Bed Capacity/Costs - Maintaining Surge Capacity	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Delayed Discharge Reduction- Additional Care Home Beds	82,102	78,564	78,564	78,564	78,564	-	-	-	-	-	-	-	396,358	-
Delayed Discharge Reduction- Additional Care at Home Packages	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Delayed Discharge Reduction- Other measures	65,604	4,362	4,362	4,362	4,362	4,362	4,362	4,362	4,362	5,000	5,000	5,000	115,500	-
Personal protective equipment	185,330	185,330	199,650	173,716	204,565	188,626	97,704	95,389	100,976	97,255	97,255	97,255	1,723,052	-
Deep cleans	-	-	1,195	(1,195)	-	-	-	-	-	-	-	-	-	-
COVID-19 screening and testing for virus	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Estates & Facilities cost including impact of physical distancing measures	-	-	8,339	391	132	392	9,497	2,255	2,296	2,589	2,589	2,589	31,068	-
Additional staff Overtime and Enhancements	70,596	43,682	47,882	19,489	57,510	34,153	37,027	35,024	37,011	38,006	38,006	38,006	496,392	-
Additional temporary staff spend - Student Nurses & AHP	-	-	369,226	101,111	139,650	74,733	29,395	(6,468)	(23,171)	-	-	-	684,475	-
Additional temporary staff spend - Health and Support Care Workers	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Additional temporary staff spend - All Other	-	-	41,206	45,673	253,332	35,198	59,693	58,758	68,331	68,331	68,331	68,331	767,185	-
Social Care Provider Sustainability Payments	-	-	265,254	223,934	314,525	313,608	288,857	247,300	112,500	412,367	412,367	412,367	3,003,080	-
Social Care Support Fund- Costs for Children & Families Services (where delegated to HSCP)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other external provider costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Additional costs to support carers	-	-	-	-	12,602	(12,602)	-	-	-	-	-	-	-	-
Mental Health Services	-	-	-	-	-	-	-	-	26,530	44,823	44,823	44,823	161,000	-
Additional payments to FHS contractors	-	-	-	28,370	4,820	-	6,742	2,500	21,790	7,136	7,136	7,136	85,629	-
Additional FHS Prescribing	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Hubs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other community care costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Loss of income	88,500	88,500	88,500	88,500	88,500	88,500	44,250	-	-	92,583	92,583	92,583	853,000	-
Staff Accommodation Costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Additional Travel Costs	-	-	5,857	1,755	1,567	1,028	1,019	1,250	784	1,473	1,473	1,473	17,680	-
Digital, IT & Telephony Costs	-	-	937	(877)	16,810	6	6	6	6	6	6	6	16,914	-
Communications	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equipment & Sundries	-	59,055	16,479	22,141	(10,294)	1,033	3,290	3,769	2,105	4,280	4,280	4,280	110,419	-
Homelessness and Criminal Justice Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Children and Family Services	6,952	12,166	20,856	34,760	34,760	34,760	29,546	29,546	29,546	17,626	17,626	17,626	285,771	-
Prison Healthcare Costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Hospice - Loss of income	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Staffing support, including training & staff wellbeing	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Resumption & redesign of primary care/contractor services to support access to urgent care in hours and OOH	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Costs associated with new ways of working- collaborative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Winter Planning	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	38,845	38,845	38,845	38,845	38,845	31,649	31,649	31,649	31,649	31,649	31,649	31,649	415,768	-
Other - Please update narrative	-	13,555	7,673	7,673	7,673	7,673	-	-	-	-	-	-	44,247	-
Other - Please update narrative	-	-	-	-	-	-	-	6,600	6,600	6,600	6,600	6,600	33,000	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	10,933	10,933	10,933	32,800	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other - Please update narrative	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Offsetting cost reductions - HSCP	(108,007)	(108,007)	(108,007)	(68,583)	(68,583)	(68,583)	-	-	-	-	-	-	(529,770)	-
Total	429,922	416,052	1,086,819	798,627	1,179,340	734,536	643,038	511,940	421,317	840,659	840,659	840,659	8,743,569	-
													Subtotal	8,743,569
Expected underachievement of savings (HSCP)	141,500	141,500	141,500	141,500	141,500	141,500	47,167	47,167	47,167	158,833	158,833	158,833	1,467,000	-
Total	571,422	557,552	1,228,319	940,127	1,320,840	876,036	690,204	559,107	468,484	999,493	999,493	999,493	10,210,569	-
												Total		10,210,569